

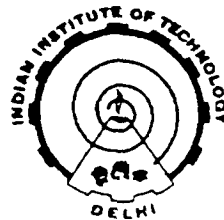
Parallel Dictionary Operations : Algorithms and Architectures

by

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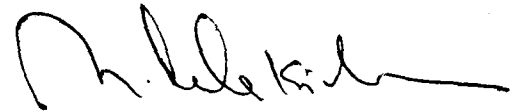
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Dedicated to Renu and Aniruddh

Certificate

This is to certify that the thesis entitled **Parallel Dictionary Operations : Algorithms and Architectures**, being submitted by Atul Varshneya to the Indian Institute of Technology, Delhi, for the award of Degree of Doctor of Philosophy, is a bonafide research work carried out by him under our supervision and guidance. The results obtained in this thesis have not been submitted to any other University or Institute for the award of any other degree or diploma.

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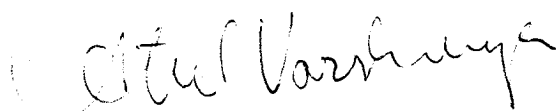
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(Atul Varshneya)

Abstract

Dictionary data structures are very important structures in design of algorithms, and find much use in various applications such as sorting, searching symbol-table, and decision table implementations. Such a data structure consists of a set S of data elements, each of which is composed of a key-record pair $K = (k, r)$, where k is the key used for searching the data element K , and r is the associated record or a pointer to the record stored in a different set. A dictionary machine is required to support the following instructions: Insert, Delete, and Member. Insert adds a new element to S , Delete removes an existing element from S , and Member determines if a certain element belongs to S .

Various solutions have been proposed to parallelise the operations of dictionary machines. these comprise of solutions in form of machine architectures designed to perform dictionary operations efficiently, and of algorithms that allow concurrent access to search data structures. It has been shown that if a dictionary operation is executed by a number of processors in parallel, then the best speed-up possible is logarithmic in the number of processors. This renders such an approach unattractive. The approaches, therefore, have been to increase the total throughput in dictionary operations by increasing the number of instructions that can be handled by the machine at the same time rather than to speed-up individual instructions.

The work reported in this thesis first presents two methods based on general purpose computing platforms for multiple accesses to dictionary search structures. Next, certain characteristics of the algorithms for dictionary operations are noted and a new processor coupling architecture, MC machine, is presented on which those can be implemented efficiently. The presented architecture is shown to be useful for a number of other engineering and

scientific applications. Finally, a dictionary machine based on MC machine is presented to show the simplicity of implementation and efficiency of its performance on this architecture.

Most of the work in parallel dictionary operations has been done for single dimensional search problems. In this work we first present a lock-coupled solution for accessing a multi-dimensional search tree, viz., k HB-tree, concurrently by a number of processes. One important aspect of the approach followed is that it reduces the accesses in the tree only to a small closed portion of the tree, also it has another advantage of having a fixed upper bound on the total number of locks held by a process. The proposed solution is clearly applicable for AVL trees which are k HB trees with $k = 1$. The solution is shown to be simpler and providing some improvements over the solutions proposed for AVL trees.

Next, a method is presented to handle multiple accesses to B^+ -tree over an array of message passing processors. The method utilises pipelined access to the tree by distributing the tree levels among the processors in the array. The main ideas here were to develop a dictionary machine based on general purpose computing platform, and to do away with the problem of memory access contentions faced in shared memory systems. The objectives achieved in this method are, firstly, achieving a coarse grained dictionary machine with a small number of processors connected in a simple topology (linear array). And secondly, performing load balancing among the processors along with the execution of dictionary operations which increases the pipeline interval only by a constant. This results in a an improvement in throughput which is linear in the number of processors. Timing measurements carried out by implementing this method verified the performance of this scheme.

Based on an observation about a typical characteristic of most search

structures, that the accesses are highly localised within the structure topology, we developed a processor coupling architecture — MC-Machines — which is tuned to such requirements. The processors are coupled by memory modules with multiple access ports. Thus adjacent processors in the machine can directly share data using this shared memory. This makes the operations in search structures very easy to distribute among processors as adjacent processors can be made to store adjacent tree nodes.

The architecture provides two key benefits. Firstly, it is scalable like message passing architectures, and secondly, it provides partial sharing of data among processors which makes programming paradigm on this similar to the one of shared memory machines. Although the architecture was developed primarily for dictionary operations, but is shown to be equally beneficial for a number of applications in areas of engineering and scientific computing.

Finally, a method for pipelined access to B^+ -trees on MC-machines is also presented. This method provides a more efficient and simple scheme than the pipelined access method discussed above over an array of message passing processors. These improvements are facilitated by the presence of shared memory among adjacent processors. The basic idea used in this method is similar to the previous method, i.e., that of maintaining load balance among processors by distributing equal number of levels among processors. But a number of issues related to the tree re-structuring operations and data re-distribution for load-balancing get resolved in a much simpler and more efficient manner on this architecture.

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