

FUZZY SUPERVISED CLASSIFICATION OF REMOTELY SENSED DATA USING ARTIFICIAL NEURAL NETWORK

by

MANORANJAN KALITA

Department of Civil Engineering

Submitted

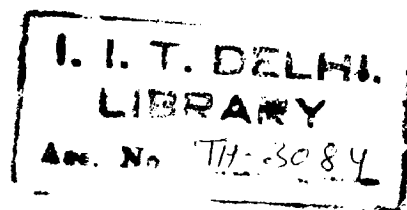
In fulfillment of the requirements of the degree of Doctor of Philosophy

to the



Indian Institute of Technology, Delhi

August 2004



CERTIFICATE

This is to certify that the thesis entitled, **Fuzzy Supervised Classification of Remotely Sensed Data Using Artificial Neural Network**, being submitted by **Manoranjan Kalita** for the award of degree of **Doctor of Philosophy**, is a record of bonafide research work carried out by him. He has worked under my guidance and supervision for submission of this thesis, which to my knowledge, has reached the requisite standard. This thesis, or any part thereof, has not been submitted to any other University or Institute for the award of any degree or diploma.



Dr. (Mrs.) REMA DEVI

Professor

Department of Civil Engineering
Indian Institute of Technology Delhi
New Delhi 110016

ACKNOWLEDGEMENT

At the very outset, I express my deep sense of gratitude to Dr (Mrs) Rema Devi, Professor in Civil Engineering, IIT, Delhi for her just and able guidance, and constant supervision while executing this academic research for nearly five years. Research trials have never been frustrating due to her continuous encouragement and positive attitude. I remain ever grateful to her.

I take this opportunity to thank Dr. N.K. Garg, Dr. Shashi Mathur and Dr. B. Bhattacharjee, Faculty members in the Civil Engineering Department, I.I.T Delhi, for their words of encouragement.

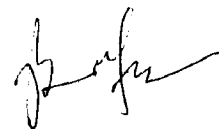
It is not possible to express my gratitude to Mr. Naved Ahsan, my brotherly friend in words. He introduced me to a number of application softwares in a variety of areas and also rendered all kinds of assistance when most needed. Moreover, he has been my all-weather company during the whole period of my stay in Delhi. I take this opportunity to thank him and wish him success in all his endeavours.

I am grateful to the Government of Assam, particularly Dr. M.M. Das, Director of Technical Education, Assam, for deputing me to undergo this research study under the Quality Improvement Programme (QIP) of the All India Council for Technical Education (AICTE), Government of India. Also, I would like to express my indebtedness to Prof. P.K. Brahma, Principal and Dr. B.D. Saikia, Head, Department of Civil Engineering of Assam Engineering College, for their kind support. Thanks are also due to Dr. M.L. Das, Dr. P.K. Goswami, my senior colleagues for their valuable suggestions.

Mr. Debasis Nayak and Mr. Ranjan Kumar Swain have given enjoyable company during my research in IIT Delhi to make my life comfortable. The company of my former students and their families, who have come to IIT Delhi for their postgraduate studies added to my comfort and enjoyment during stay at IIT Delhi. I express my heartfelt thanks and best wishes to all of them. My thanks are also due to Mr. Qamrul Hassan and Dr. Rajesh Dwivedi, for their encouraging comments.

I take this opportunity to thank the office and laboratory staff of Civil Engineering Department and staff of the QIP section, IIT Delhi for their kind cooperation during this research. This acknowledgement will not be complete without special thanks to Mr. Bikram Chand and Mr. Rajveer Aggarwal, who provided generous help as and when required. I also express sincere thanks to Mr. Apurba Kr. Das and Mr. Niren Baishya for their help during compilation of the thesis.

Finally, I do express my gratitude to my parents and other family members for bearing with me during this study. It is so nice of my wife Kamala and my little son 'Babu' that in spite of facing lot of inconveniences, both continue to give me mental support all the time. I am thankful to all those, especially Pabitra and Harmohan who helped my family to overcome unanticipated difficulties that arose during the period of my absence from home on account of this research study.



(MANORANJAN KALITA)

ABSTRACT

Classification is the key step of a remote sensing image analysis system as it derives the final output, that is, a thematic map. A major unresolved issue in classification is the classification of mixed pixels.

Conventional crisp concept of one-pixel-one-class fails in the classification of mixed pixels due to the expressive inadequacy of these techniques. Fuzzy set theory, put forward by L.A. Zadeh in 1965 has the theoretical basis required to address this issue. Additionally, conventional popular classifiers like maximum likelihood technique also fail to derive high accuracy when data does not conform to normal distribution. The combination of fuzzy set theory and technique of neural network is seen to be versatile enough to handle nonlinear problems in diverse fields.

The present study aims to evolve a methodology for fuzzy classification of remote sensing image using neural network – popularly termed as a neurofuzzy approach to classification.

Experiments are carried out initially with synthetic image created from a real satellite image for better control on the investigations. The synthetic pixels are generated using the concept of Linear Mixture Model (LMM) which is well accepted in the domain of spectral unmixing. The series of experiments help to identify the best performing neural network configuration. Trials are confined to the feed-forward neural networks. Correspondingly, the most widely accepted back-propagation algorithm is used as the starting point. For fine tuning of the technique, series of experiments are conducted with

different combinations of activation functions, three modes of back-propagation algorithm, that is, gradient descent, Levenberg-Marquardt and Elman algorithm. And lastly, comparative assessment is made between the best of back-propagation (LMBP) and radial basis function (RBF). In all the cases, comparative performance is evaluated in terms of error estimates derived from the outputs of an independent set of synthetic test pixels. The neural network configuration so evolved is the best amongst the tested ones, and is tested on real scenes. Another series of experiments are carried out on neural network outputs of real scenes in order to frame rules for final neurofuzzy classification. Finally, the framed rules are further tested with the classification of a relatively 'simple' scene. On getting encouraging results in terms of correlation between the predicted and the known membership values, the same rules are validated in the classification of a 'heterogeneous' scene with three combinations of defined classes.

Results show the possibility of qualitative gradation of the pixels in a scene from ideal pure, ideal mixed, real pure, real mixed and undefined based on a scale of 'Deviation Index'. Additionally, fuzzy classification of the ideal pixels, both pure and mixed, are straight-forward. Moreover, implementation of membership functions designed for each class extracts additional information on real pixels and their mixtures. The correlation achieved is of the order of 0.75 in the case of the simple scene and of the order of 0.6 to 0.7 for a heterogeneous scene.

LIST OF FIGURES

3.1:	A three-layer feed-forward neural network	51
3.2:	Flow chart of methodology	60
4.1:	Work Scheme for development of neurofuzzy classification methodology	70
4.2:	Error level during training (Three defined classes; discrete membership; synthetic image)	80
4.3:	Cumulative percent pixels vs RMSE (Three defined classes; discrete membership; synthetic image)	83
4.4	Cumulative percentage of pixels Vs per-pixel RMSE (3 defined classes; continuum membership; synthetic image)	89
4.5:	Distribution of areal algebraic error for typical membership (Random Selection) (3 defined classes; continuum membership; synthetic image)	90
4.6:	Distribution of absolute error with strength of membership (3 defined classes; continuum membership; synthetic image)	90
4.7:	Cumulative percentage of pixels Vs per-pixel RMSE (Four defined classes; continuum membership; synthetic image)	96
4.8:	Distribution of absolute error with strength of membership (4 defined classes; continuum membership; synthetic image)	97
4.9:	Graphical representation of transfer functions	102
4.10:	SSE ($\times 10^{-4}$) at training for combinations of transfer function (3class; 3 layer network; synthetic pixel)	106

4.11:	SSE ($\times 10^{-2}$) of training for three combinations (3 class; 3 layer network; LIN fixed at output layer)	106
4.12:	Cumulative percent pixels Vs per-pixel RMSE	109
4.13:	Absolute maximum error for the best two combinations	109
4.14:	Absolute mean error for the best two combinations	109
4.15:	Cumulative percentage of pixels Vs per-pixel RMSE (GDBP and LMBP)	114
4.16:	Comparative performance of LMBP and GDBP (Class independent-individual membership case)	115
4.17(A):	Schematic diagram of the neural network model	122
4.17(B):	Working of an individual computing node	122
4.18:	Cumulative percentage of pixels with per-pixel RMSE (2-class with undefined case)	123
4.19:	Cumulative percent pixels Vs per-pixel RMSE (3 class with undefined)	128
4.20:	Cumulative percent pixels Vs per-pixel RMSE (4 class with undefined)	132
4.21:	Comparative performance of GDBP, LMBP and ELM (ideal test data)	140
4.22:	Cumulative percent pixels Vs per-pixel RMSE (LMBP and RBF)	149
4.23:	Absolute Mean Error Vs membership values (LMBP and RBF)	151
4.24:	Validation on training of the three networks (2 defined class)	172
4.25:	Variation of DI with fractional abundance of undefined classes	179
4.26:	Proposed membership function showing shape and critical limits	185
4.27:	Site specific membership functions for Water and Aquatic vegetation (Defined real pure classes; IRC 1C LISS III image)	194

4.28:	Correlation between the predicted and the known membership (Two class; IRS 1C LISS image)	197
4.29:	Validation on learning of neural network through per-pixel RMSE for 2-class combination (SW)	200
4.30:	Validation on learning of neural network through per-pixel RMSE for 3-class combination (SWC)	201
4.31:	Validation on learning of neural network through per-pixel RMSE for 4-class combination (SWCC')	201
4.32:	Correlation between known and predicted membership (Defined class: Sand; Real LISS image)	208
4.33:	Correlation between known and predicted membership (Defined class: Water; Real LISS image)	209
4.34:	Correlation between known and predicted membership (Defined class: Crop1; Real LISS image)	210
4.35:	Correlation between known and predicted membership (Defined class: Crop2; Real LISS image; SWCC')	210

LIST OF TABLES

4.1:	Statistics of the four classes – IRS 1C LIII scene (Plate 4.1, Plate 4.2)	77
4.2:	Separability matrix in terms of Transformed Divergence Ref. Plate 4.1, Plate 4.2)	77
4.3:	Distribution of sample pixels with graded RMSE (Three defined classes; discrete membership; synthetic image)	82
4.4:	Distribution of sample pixels with graded RMSE (Three defined classes; continuum membership; synthetic image)	87
4.5:	Class specific algebraic error (Three defined classes; continuum membership; synthetic image)	91
4.6:	Distribution of sample pixels with graded RMSE (4 defined classes; continuum membership; synthetic image)	95
4.7:	Range of Class independent algebraic error and absolute mean error (Four defined classes; continuum membership; synthetic image)	98
4.8:	Class specific algebraic error (Four defined classes; continuum membership; synthetic image)	99
4.9:	Transfer Functions used in Experiment 4	101
4.10:	SSE with increasing number of hidden nodes (3-class, 3-layer network)	104
4.11:	SSE with increasing number of epochs (3-class, network 4-16-12-3)	104
4.12:	RMSE Values with cumulative percentage of pixels (3 class; 4 layer network 4-16-12-3; synthetic image)	107
4.13:	Class specific algebraic error for the best two combinations (3-class; 4 layer network; 4-16-12-3)	110

4.14:	Training time and SSE at typical number of epochs (GDBP and LMBP)	112
4.15:	Cumulative percentage of pixels with per-pixel RMSE (GDBP and LMBP)	114
4.16:	Comparison of class specific algebraic error (GDBP and LMBP)	116
4.17:	Separability of the four defined classes (transformed divergence) (With undefined; continuum membership; synthetic image)	119
4.18:	Statistics of four defined classes from IRS 1C LISS III (With undefined; continuum membership; synthetic image)	119
4.19:	Class independent error for a set of discrete membership values	124
4.20:	Output patterns for real sample pixels – defined classes (2 defined classes; 1 undefined class; continuum membership)	125
4.21:	Output patterns for real sample pixels – undefined classes (2 defined class; with undefined)	125
4.22:	Class independent error for a set of typical discrete membership values (3 class with undefined)	129
4.23:	Output patterns for real sample pixels – defined classes (3 defined class with undefined)	130
4.24:	Output patterns for real sample pixels - undefined classes (3 defined classes with undefined)	131
4.25:	Class independent error for a set of typical discrete membership values (4 class with undefined)	134
4.26:	Output patterns for real sample pixels – defined classes (test classes: residential area and barren land; network: 4 defined with undefined)	135

4.26	Output patterns for real sample pixels – defined classes (Test classes : marshy water and aquatic vegetation; Network: 4 defined with undefined)	135
4.27 (a):	Output patterns for real sample pixels – undefined classes (test classes : road and forest; network: 4 defined with undefined)	136
4.27 (b):	Output patterns for real sample pixels – undefined classes (undefined test classes : open land and agricultural fallow; network: 4 defined with undefined)	136
4.28:	Comparison of class specific algebraic error (ideal data) (GDBP, LMBP and ELM)	140
4.29:	Per-pixel RMSE for synthetic test data (366 ideal test pixels)	141
4.30:	Comparison of errors in class independent prediction of membership (GDBP, LMBP & ELMBP)	142
4.31:	Output patterns for real test pixels – defined classes (GDBP, LMBP and ELM)	143
4.32:	Output patterns for real test pixels – undefined classes (GDBP, LMBP and ELM)	144
4.33:	Comparison of class specific algebraic error (LMBP and RBF)	148
4.34:	Class independent range of graded algorithm	150
4.35:	Output patterns for sample real pixels – defined classes	153
4.36:	Output patterns for sample real pixels – undefined class	154
4.37:	Separability of the four identified classes (marked segment of Plate 4.4)	159
4.38:	Statistics of the four classes (Marked segments of Plate 4.4)	160

4.39:	Sample reference data sheet of classified merged image in digital format	169
4.41:	Details of trained neural network for three 2-defined-class combination	172
4.42:	Typical output pattern vectors with the defined combination 'CW' (Real test pixels of defined and undefined classes; sample set of vectors)	173
4.43:	Deviation index for defined real pure pixels	175
4.44:	Deviation index for undefined real pure pixels	176
4.45:	Categorisation of pixels based on Deviation Index	180
4.46 :	Ground truth values for two defined class composition [IRS-1C-LISS-III image for two defined classes (Ref. Plate-4.11)]	192
4.47:	Outputs for sample pixels of real defined classes (Two class; IRS 1C LISS image)	194
4.48:	Statistics of neural network outputs (real test pixels, defined classes)	195
4.49 :	Statistics of neural network outputs of real test pixels (IRS 1C LISS III image; Two class with undefined; Plate 4.10)	195
4.50:	Details of network training with LMBP (2-class, 3-class and 4-class combinations)	200
4.51:	Requisite data for designing membership functions (Ref. Fig.4.33)	202
4.52:	Equations derived for segments of membership functions	203
4.53:	Salient features of classified scenes of the three combinations	204
4.54:	Validation in respect of identification of pure pixels by the methodology	206
4.55:	Correlation between the predicted and known membership values for individual defined classes with respect to different combinations	207

LIST OF PLATES

4.1:	Real sub-scene of IRC-1C-LISS-III (April 14, 1999)	73
4.2:	Real sub-scene of IRS-1C-LISS-III (April 14, 1999)	74
4.3:	LISS Image with Four Distinct Classes	158
4.4:	LISS Image (zoomed in) of the Study Area with Four Distinct Classes	162
4.5:	PAN Image of the Study Area and Surrounding	163
4.6:	Merged Image of the Study Area	164
4.7:	Classified Merged Image with Parallelepiped Classifier (within one standard deviation limits)	166
4.8:	Classified Merged Image using Parallelepiped Classifier (within min-max limits of spectral signature)	167
4.9:	LISS Image Classified with parallelepiped Classifier (within min-max limits)	168
4.10:	Real data scene (overlain with 25m grid) with predominant existence of two defined classes	189
4.11:	Classified Merged Image Study Scene with 5m grids overlaid	190

TABLE OF CONTENTS

<i>Certificate</i>	
<i>Acknowledgement</i>	i
<i>Abstract</i>	iii
<i>Table of Contents</i>	v
<i>List of Figures</i>	ix
<i>List of Tables</i>	xii
<i>List of Plates</i>	xvi

CHAPTER 1: INTRODUCTION

1.1	GENERAL	1
1.2	NEED OF THE STUDY	5
1.3	SCOPE OF THE STUDY	6
1.4	OBJECTIVES OF THE STUDY	8
1.5	LAYOUT OF THE THESIS	9

CHAPTER 2: LITERATURE REVIEW

2.1	GENERAL	11
2.2	MULTI-SPECTRAL CLASSIFICATION: CONVENTIONAL TECHNIQUES OF MULTIVARIATE STATISTICS	12
2.3	NEURAL NETWORK APPROACH TO CLASSIFICATION	13
2.3.1	Structure and encoding	14
2.3.2	Extraction of classes	16

2.3.3	Network architecture	17
2.3.4	Training of the network	19
2.3.5	Comparison of neural network approach to conventional classifiers	23
2.4	USE OF FUZZY CONCEPT IN REMOTE SENSING IMAGE CLASSIFICATION	25
2.5	NEURO-FUZZY APPROACH TO CLASSIFICATION	29
2.6	CONCLUSION	33
 CHAPTER 3: METHODOLOGY		
3.1	GENERAL	35
3.2	OBJECTIVES OF THE PROPOSED RESEARCH	37
3.3	DIGITAL CLASSIFICATION OF REMOTE SENSING IMAGE	38
3.3.1	Conventional Methods	39
3.3.2	Use of Non-spectral Variables in Classification	42
3.4	BACKGROUND OF THE PROPOSED METHODOLOGY	43
3.4.1	The Concept of Fuzzy Set Theory on Classification	43
3.4.2	Linear Mixture Model (LMM)	47
3.4.3	Artificial Neural Network in Classification	50
3.5	METHODOLOGY ADOPTED	58
3.5.1	Generation of Synthetic Image	61
3.5.2	Generation of the Test Output	66
3.5.3	Performance Evaluation	66
3.6	CONCLUSION	68

CHAPTER 4: EXPERIMENTAL RESULTS & ANALYSIS

4.1	GENERAL	69
4.2	DESIGN OF EXPERIMENTS	69
4.3	NEUROFUZZY CLASSIFICATION OF SYNTHETIC IMAGE (Defined classes only)	72
4.3.1	Selection of Basic Data for Synthetic Image	72
4.3.2	Experiment 1: Fuzzy Classification with Neural Network <i>(Three defined classes; discrete membership; synthetic image)</i>	78
4.3.3	Experiment 2: Neurofuzzy Classification <i>(Three defined classes; continuum membership; synthetic image)</i>	84
4.3.4	Experiment 3: Fuzzy Classification with Neural Network <i>(Four defined classes; continuum membership; synthetic image)</i>	92
4.3.5	Experiment 4: Comparative Evaluation of Performance of Transfer Functions	100
4.3.6	Experiment 5: Comparative Evaluation of Back-propagation Algorithm <i>(GDBP & LMBP)</i>	111
4.4	FUZZY CLASSIFICATION IN THE PRESENCE OF UNDEFINED CLASSES	117
4.4.1	Identification of Defined Classes in the Selected Remote Sensing Data	118
4.4.2	Creation of Synthetic Image	118
4.4.3	The modified strategy	120
4.4.4	Experiment 6: Study with Two Defined Classes <i>(With undefined; continuum membership; synthetic image)</i>	121
4.4.5	Experiment 7: Study with Three and Four Defined Classes	126

4.4.6	Experiment 8: Comparative Evaluation of Back-propagation Algorithm (<i>GD, LM and ELM</i>)	137
4.4.7	Experiment 9: Comparative Evaluation of LMBP & RBF (<i>2 class with undefined</i>)	146
4.5	FUZZY CLASSIFICATION OF REAL SATELLITE DATA	155
4.5.1	The Working Scheme	155
4.5.2	Satellite Data	157
4.5.3	Separability Analysis and Extraction of Statistics	159
4.5.4	Preparation of Data	160
4.5.5	Experiment 10: Framing Principles For Final Classification Based on Analysis with Two Defined Classes	171
4.5.6	Experiment 11: Validation of the Framed Rules in a Two-Class Scene	188
4.5.7	Experiment 12: Validation of the Methodology in Neuro-Fuzzy Classification of Heterogeneous Real Image	199
4.6	LIMITATIONS	211
4.7	CONCLUSION	212
 CHAPTER 5: CONCLUSIONS		
5.1	INTRODUCTION	215
5.2	IMPORTANT FINDINGS	215
5.3	SPECIFIC CONTRIBUTIONS FROM THE STUDY	219
5.3	RECOMMENDATION FOR FUTURE STUDY	221
REFERENCES		223
BRIEF BIODATA OF THE AUTHOR		245