

MODELING AND PERFORMANCE ANALYSIS OF DEVICE-TO-DEVICE CELLULAR NETWORKS

SANDEEP JOSHI



**DEPARTMENT OF ELECTRICAL ENGINEERING
INDIAN INSTITUTE OF TECHNOLOGY DELHI
AUGUST 2019**

MODELING AND PERFORMANCE ANALYSIS OF DEVICE-TO-DEVICE CELLULAR NETWORKS

by

SANDEEP JOSHI

DEPARTMENT OF ELECTRICAL ENGINEERING

Submitted

in fulfillment of the requirements of the degree of Doctor of Philosophy
to the



INDIAN INSTITUTE OF TECHNOLOGY DELHI
AUGUST 2019

Certificate

This is to certify that the thesis entitled “**Modeling and Performance Analysis of Device-to-Device Cellular Networks**” being submitted by **Sandeep Joshi** to the Department of Electrical Engineering, Indian Institute of Technology Delhi, for the award of the degree of **Doctor of Philosophy** is the record of the bona-fide research work carried out by him under my supervision. In my opinion, the thesis has reached the standards fulfilling the requirements of the regulations relating to the degree. The results contained in this thesis have not been submitted either in part or in full to any other university or institute for the award of any degree or diploma.

(Prof. Ranjan K. Mallik)

Department of Electrical Engineering

Indian Institute of Technology Delhi

Hauz Khas, New Delhi 110016

India

Acknowledgements

Foremost, I would like to express my deepest gratitude and sincere thanks to my thesis supervisor **Prof. Ranjan K. Mallik**, for his continuous support and guidance throughout my doctoral research at IIT Delhi. His constant emphasis on perfection and clarity in the work, and encouragement to think independently has helped me to achieve my academic goals. His trust in my judgement and decisions, and his continuous motivation during the times when things did not work has helped me to mature as a researcher. I am deeply indebted for his invaluable guidance, advice, and inspiration. I consider myself fortunate to have him as my mentor and guide. It has been a wonderful learning experience working under his guidance.

I would also like to thank my research committee members Prof. Brejesh Lall, Prof. Manav Bhatnagar, and Prof. Monika Aggarwal for their valuable comments and suggestions during my research work. I am thankful to all my teachers from whom I have got the opportunity to learn during course of my study from school to the graduate school. Special thanks are also due to Prof. Madan Gopal, Prof. Shankar Prakriya, and Prof. S. L. Maskara for their guidance and for motivating me towards pursuing doctoral research. I would extend thanks to Prof. Sukumar Mishra for taking care of the requirements in the work place. Thanks are also due to the anonymous reviewers' who gave many constructive suggestions for the improvement of the quality of work.

I would like to thank Ministry of Electronics & Information Technology (MeitY), government of India for the scholarship under Visvesvaraya Ph.D. Scheme for Electronics & Information Technology. I thank all the staff members of the Electrical Engineering department office for taking care of the paper work and all other logistics. The help and support provided by the hostel staff, housekeeping and maintenance staff,

security staff, and the administrative staff at IIT Delhi is also gratefully acknowledged.

My stay at IIT Delhi wouldn't have been so enjoyable and memorable without friends who were always there to help and support me. My special heartfelt thanks to Ankit Garg, B. R. Manoj, Dushyant Sharma, Harikrishna Boddapati, Nilay Pandey, and Soumya P. Dash for various technical discussions and for the enjoyable moments we shared which I will always cherish. Thanks are also due to my all other friends in IIT Delhi who were always around and provided support. I would also like to thank Sachin Agrawal and Naveen Babu for their friendship.

I thank my father Shri Guru Dutt Joshi and my mother late Smt. Sujata Joshi for their unconditional love and support. Their high values and principles have made me the person I am today. They have always inspired me to aim for the best. Loving memories of my late mother have given me the strength and encouragement to pursue my dreams. I would like to thank my sister Himani and my grandmother Smt. Laxmi for their love, support, and understanding. Thanks are also due to Harshita, Saurabh, and my uncle Shri Prabhu Dutt for their love and support.

Last but not the least, I also place on record, my sense of gratitude to one and all who, directly or indirectly, have bestowed their wishes and blessings on me.

Sandeep Joshi

Abstract

Device-to-device (D2D) communication which involves direct communication between the cellular users in proximity, thus bypassing the network infrastructure, i.e., the base station (BS) is envisaged to be an important part of the fifth generation communication network. In this thesis, we analyze the downlink performance of a D2D-enabled cellular communication network with the D2D communication links assumed to be under Nakagami- m fading, using the tools from stochastic geometry, in terms of the coverage probability. The coverage analysis is presented with the devices placed randomly according to Poisson point process (PPP) for the dedicated scenario, wherein, the frequency resources are allocated separately for both the D2D and the cellular users, and for the shared scenario, wherein, the frequency resources are shared between the D2D and the cellular users. The coverage analysis is further extended to include the case of dense cellular networks for both the dedicated and the shared communication scenarios.

Although, most of the performance analysis of D2D communication systems is studied assuming the device locations to be PPP distributed due to the advantages of tractability of the analysis, the PPP modeling has limitations in providing the complete network description. Also, the PPP modeling of devices does not capture the non-homogeneity in the device location which is effectively modeled by the Poisson cluster process (PCP) and is more close to the depiction of the practical wireless heterogeneous networks. To account for the spatial correlation between the devices, we provide the coverage and the interference analysis of the D2D links assuming the device locations to be distributed as PCPs which is realistic but more complex in comparison to the PPP device modeling. We derive the intra and the inter cluster Laplace transforms

and using them we further derive approximate closed-form expressions for the coverage probability with devices modeled as PCPs for the dedicated network scenario. We also derive the closed-form coverage expressions for the special case of Rayleigh fading. We also show the dependence of the coverage probability on various system parameters.

We provide a useful addition to the available stochastic geometry toolbox for the D2D coverage analysis by giving an alternate way to compute the coverage probability using the interference functional approach. Further, the interference functional approach is compared with the commonly used Laplace transform approach and we observe that both the approaches show similar coverage behavior. The interference functional approach provides the additional advantage of less complexity in the numerical and analytical computation. Additionally, we also provide a bound on the coverage probability using the Alzer's inequality which is helpful in the coverage calculations for higher fading parameter values.

Relaying in wireless networks is an effective way to reduce fading and increasing the coverage range. We study the performance analysis of a cooperative D2D network with downlink non-orthogonal multiple access and fixed gain amplify-and-forward relaying considering that a direct link exists between the D2D user and the BS. We derive novel closed-form expressions of the outage probability and the system throughput for the D2D users considering a general framework of Nakagami- m fading.

सार

डिवाइस-टू-डिवाइस (डी२डी) संचार में सेलुलर उपयोगकर्ता जो निकटता में हैं उनके बीच प्रत्यक्ष संचार शामिल है, इस प्रकार नेटवर्क के बुनियादी ढांचे, यानी बेस स्टेशन (बीएस) को दरकिनार कर देते हैं, डी२डी संचार को पांचवीं पीढ़ी के संचार नेटवर्क का एक महत्वपूर्ण हिस्सा होने की परिकल्पना की गई है। इस थीसिस में, हम कवरेज संभावना के संदर्भ में स्टोकेस्टिक ज्योमेट्री से उपकरणों का उपयोग करते हुए, डी२डी संचार लिंक जो की नाकागामी-एम फेडिंग के तहत है, डी२डी-सक्षम सेलुलर संचार नेटवर्क के डाउनलिंक प्रदर्शन का विश्लेषण करते हैं। कवरेज विश्लेषण को पॉइसन बिंदु प्रक्रिया (पीपीपी) के अनुसार यादृच्छिक रूप से रखे गए उपकरणों के साथ प्रस्तुत किया गया है, समर्पित परिदृश्य के लिए, जिसमें, डी२डी और सेलुलर उपयोगकर्ताओं के लिए आवृत्ति संसाधन अलग से आवंटित किए जाते हैं, तथा साझा परिदृश्य के लिए, जिसमें, डी२डी और सेलुलर उपयोगकर्ताओं के बीच आवृत्ति संसाधन साझा किए जाते हैं। घने सेलुलर नेटवर्क के लिए कवरेज विश्लेषण को समर्पित और साझा संचार परिदृश्यों को शामिल करने के लिए आगे बढ़ाया गया है।

हालांकि, डी२डी संचार प्रणालियों के अधिकांश प्रदर्शन विश्लेषण का अध्ययन डिवाइस स्थानों को पीपीपी वितरित कर किया जाता है, विश्लेषण के स्थायित्व के फायदे के कारण, पीपीपी मॉडलिंग की नेटवर्क विवरण को पूर्णता प्रदान करने में सीमाएं हैं। इसके अलावा, उपकरणों के पीपीपी मॉडलिंग डिवाइस के स्थान में गैर-विषमता को कैप्चर नहीं करते हैं जो कि पॉइसन क्लस्टर प्रक्रिया (पीसीपी) द्वारा प्रभावी रूप से की जाती है और व्यावहारिक वायरलेस विषम नेटवर्क के चित्रण के अधिक करीब है। उपकरणों के बीच स्थानिक सहसंबंध को उजागर करने के लिए, हम कवरेज और डी२डी लिंक के इंटरफेरेंस विश्लेषण प्रदान करते हैं कि डिवाइस स्थानों को पीसीपी के रूप में वितरित किया जाए जो पीपीपी डिवाइस मॉडलिंग की तुलना में यथार्थवादी लेकिन अधिक जटिल है। हम इंट्रा और अंतर क्लस्टर लाप्लास ट्रांसफॉर्म को रूपांतरित करते हैं और उनका उपयोग करते हुए हम समर्पित नेटवर्क परिदृश्य के लिए पीसीपी के रूप में तैयार किए गए उपकरणों के साथ कवरेज संभावना के लिए अनुमानित क्लोज्ड-फॉर्म

अभिव्यक्ति प्राप्त करते हैं। हम रेले फेडिंग के विशेष मामले के लिए क्लोज्ड-फॉर्म कवरेज अभिव्यक्तियों को भी प्राप्त करते हैं। हम विभिन्न सिस्टम मापदंडों पर कवरेज संभावना की निर्भरता भी दर्शाते हैं।

हम इंटरफेरेंस फंक्शनल दृष्टिकोण का उपयोग करके डी२डी कवरेज विश्लेषण के लिए कवरेज संभावना की गणना करने के लिए उपलब्ध स्टोकेस्टिक ज्योमेट्री टूलबॉक्स में एक अतिरिक्त और उपयोगी वैकल्पिक तरीका प्रदान करते हैं। इसके अलावा, इंटरफेरेंस फंक्शनल दृष्टिकोण की तुलना आमतौर पर इस्तेमाल किए जाने वाले लाप्लास ट्रांसफॉर्म दृष्टिकोण के साथ की गयी है और हम मानते हैं कि दोनों दृष्टिकोण समान कवरेज व्यवहार दिखाते हैं। इंटरफेरेंस फंक्शनल दृष्टिकोण संख्यात्मक और विश्लेषणात्मक गणना में कम जटिलता का अतिरिक्त लाभ प्रदान करता है। इसके अतिरिक्त, हम अलजर की असमानता का उपयोग करते हुए कवरेज संभावना पर भी एक सीमा प्रदान करते हैं जो उच्च फेडिंग पैरामीटर मानों के लिए कवरेज की गणना में सहायक है।

वायरलेस नेटवर्क्स में रिलेइंग, फेडिंग कम करने और कवरेज रेंज बढ़ाने का एक प्रभावी तरीका है। हम डाउनलिनक नॉन-ऑर्थोगोनल मल्टीपल एक्सेस और फिक्स्ड गेन एम्पलीफाइ-एंड-फॉरवर्ड रिलेइंग के साथ एक सहकारी डी२डी नेटवर्क के प्रदर्शन विश्लेषण का अध्ययन करते हुए मानते हैं कि डी२डी उपयोगकर्ता और बीएस के बीच एक सीधा लिंक मौजूद है। हम नाकागामी-एम फेडिंग के एक सामान्य ढांचे पर विचार करते हुए डी२डी उपयोगकर्ताओं के लिए आउटेज संभावना और सिस्टम थ्रूपुट के नवीन क्लोज्ड-फॉर्म अभिव्यक्तियों को प्राप्त करते हैं।

Table of Contents

Certificate	i
Acknowledgements	ii
Abstract	iv
List of Figures	ix
List of Tables	xi
1 Introduction	1
1.1 D2D Communication	2
1.2 Definitions and Mathematical Preliminaries	6
1.2.1 Fading Distribution	7
1.2.2 Poisson Point Process (PPP)	8
1.2.3 Poisson Cluster Process (PCP)	10
1.2.4 Coverage Probability	10
1.3 Modeling in Next Generation Cellular Networks	11
1.4 Related Works	14
1.5 Motivation and Contributions	17
1.6 Organization of Thesis	18
2 Coverage and Interference Analysis with PPP Based Modeling	22
2.1 Introduction	22
2.2 System Model	24

2.2.1	Distance Distributions	25
2.3	Performance Analysis: D2D Users	27
2.4	Performance Analysis: Cellular Users	38
2.5	Numerical Results	42
2.6	Summary	47
3	Coverage and Interference Analysis with PCP Based Modeling	48
3.1	Introduction	48
3.2	System Model and Distance Distributions	50
3.3	Performance Analysis	52
3.3.1	Intra-Cluster Laplace Transform	54
3.3.2	Inter-Cluster Laplace Transform	55
3.3.3	Coverage Probability: Approximation	56
3.3.4	Approximate Bound	57
3.3.5	Interference-Limited Case	58
3.4	Numerical Results	59
3.5	Summary	62
4	Interference Functional: Alternate Approach to Coverage Analysis	63
4.1	Introduction	63
4.2	System Model	64
4.3	Performance Analysis	65
4.3.1	Laplace Transform Approach	66
4.3.2	Interference Functional Approach	68
4.3.3	Bound on the Coverage Probability	70
4.4	Numerical Results	71
4.5	Summary	74
5	Cooperative D2D Network: NOMA with AF Relaying	75
5.1	Introduction	75
5.2	System Model	77

5.3	Performance Analysis	80
5.3.1	Outage Analysis for Weak D2D User	80
5.3.2	Outage Analysis for Strong D2D User	83
5.3.3	Special Case of Rayleigh Fading	84
5.3.4	Asymptotic Analysis	85
5.3.5	Throughput Analysis	86
5.4	Numerical Results	86
5.5	Summary	88
6	Conclusions and Future Work	90
6.1	Contributions of this Thesis	90
6.2	Future Work	92
	Bibliography	95
	Publications Based on this Thesis	103
	Technical Biography of Author	104

List of Figures

1.1	Representative applications of D2D communications in cellular networks. . .	3
2.1	Representative network model with D2D nodes (asterisks), BSs (circles), and cellular users (dots) distributed as homogeneous PPPs in a 500 meter $\times$ 500 meter view.	24
2.2	Illustration of D2D-enabled downlink cellular network considered in the analysis.	26
2.3	SINR CDF of D2D links for $m = 1, 2, 3$ and of cellular users in a dedicated network with path loss exponent $\alpha = 3.5$	43
2.4	SINR CDF of D2D links and interference-limited D2D links for $m = 2$, and of cellular users in a dedicated network for path loss exponent $\alpha = 3.5, 4$	44
2.5	SINR CDF of D2D links for $m = 1, 2, 3$ and of cellular users in a shared network with path loss exponent $\alpha = 3.5$	45
2.6	SINR CDF of D2D links and interference-limited D2D links for $m = 2$, and of cellular users in a shared network for path loss exponent $\alpha = 3.5, 4$.	45
2.7	SINR CDF of D2D links for path loss exponent $\alpha = 3.5$ with $m = 2$ and $\lambda_{D_j} = 50/500^2 \text{ meter}^{-2}, 100/500^2 \text{ meter}^{-2}$ in dedicated and shared networks.	47
3.1	Coverage probability versus SINR threshold with $\lambda = 150 \text{ clusters/km}^2$.	59
3.2	Coverage probability versus SINR threshold for $\alpha = 3$ and 4 and $\lambda = 150 \text{ clusters/km}^2$	60
3.3	Coverage probability versus average number of simultaneously active D2D transmitters for different values of σ	61

3.4	Coverage probability versus average number of simultaneously active D2D transmitters for different values of λ	61
4.1	Coverage probability versus SINR threshold for $m = 1, 2$ and $\alpha = 3, 4$ with Laplace and interference functional approaches.	71
4.2	Coverage probability versus SINR threshold for different values of m and $\alpha = 4$	73
4.3	ASE versus SINR threshold for $m = 1, 2$ and $\alpha = 3, 4$	73
5.1	System model for a downlink cooperative D2D-enabled cellular network with UE1 and UE2 depicting the strong and the weak D2D users, respectively.	77
5.2	Outage probability versus SNR for NOMA D2D strong and weak users with $m = 1, 2$, and 3	87
5.3	System throughput in bpcu versus SNR for $m = 1, 2$, and 3 , with $R_{th_1} = 1.5$, $R_{th_2} = 1$	87

List of Tables

1.1	Comparison of short range wireless technologies.	4
1.2	Notations and symbols.	6
2.1	System parameters for PPP modeled D2D cellular network.	43