

**PHOTOLUMINESCENT NANOSTRUCTURES FROM
SELF-ASSEMBLY OF BLOCK
COPOLYMER/QUANTUM DOT HYBRIDS**

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DEPARTMENT OF TEXTILE & FIBRE

ENGINEERING

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by

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Submitted

in fulfilment of the requirements of the degree of Doctor of Philosophy

to the



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Dedicated to my family

CERTIFICATE

This is to certify that the thesis titled '*Photoluminescent Nanostructures from Self-Assembly of Block Copolymer/Quantum Dot Hybrids*' being submitted by **Mr. Sajan Singh** to the Indian Institute of Technology Delhi, for the award of *Doctor of Philosophy* degree, is a record of bonafide research work carried out by him. **Mr. Sajan** has worked under my guidance and supervision. He has fulfilled the requirements for the submission of this thesis, which to my knowledge has reached the requisite standard.

Date

(Prof. Bhanu Nandan)

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Sajan Singh

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ABSTRACT

Polymer-inorganic hybrid materials offer exciting opportunities as they can exhibit promising properties from both the constituents that are desirable for applications such as sensors, composites, catalysis, energy conversion/storage etc. The self-assembly of block copolymer (BCP) has been one of the fascinating approaches to direct the assembly of inorganic nanoparticles (NPs) for the fabrication of such hybrid materials with well-defined morphologies. In the present work, we demonstrate the self-assembly approach to direct the assembly of quantum dots (QDs) into organized structures. It was further demonstrated that self-assembled morphologies of block copolymer could also be used to obtain isolated photoluminescent core-shell hybrid nano-objects *via* selective solvent approach.

The first part of the present study mostly focused on the fundamental study on the self-assembly behavior of BCP/QDs hybrids. This involved the investigation on the localization of QDs within the self-assembled structure of BCPs and the effect of QDs *vis-à-vis* its size, shape and surface chemistry on the BCP self-assembly. The cylinder-forming PS-*b*-P4VP block copolymer mixed with TOPO capped CdSe quantum dots of diameter ~ 3.8 nm was investigated. It was found that quantum dots were enthalpically compatible with P4VP chains *via* ligand displacement of TOPO from the QD surface. However, the QDs were found to localize preferentially at the PS/P4VP interphase plausibly to gain translational entropy in order to further lower the energetics of the self-assembled structure. Interestingly, morphological transformation was observed with increasing weight fraction of the QDs, in the BCP/QD composites, which was driven by the migration of the displaced TOPO from QD surface to the PS phase, effectively raising its total volume fraction. Hence, the PS-*b*-P4VP BCP with PS as the minority block displayed lamellar morphology in its composite with QDs. Most interestingly, it was found that after addition of TOPO capped QDs of diameter ~ 7.4 nm, the BCP morphology transformed to the perforated lamellar morphology. Moreover, the QDs were exclusively localized within the P4VP perforations. This is one of the first such observation in the bulk BCP/nanoparticle hybrid systems and the formation of perforated layer morphology was attributed to the alleviation of chain packing frustration after localization of the QDs in the P4VP perforations.

Additionally, to incorporate the quantum dots in the PS phase, QDs were stabilized by thiol terminated PS oligomer *via* ligand displacement approach. It was found that PS-stabilized QDs

also localized in the P4VP phase, driven *via* ligand-displacement of the PS-SH from the QD surface as observed with TOPO coated QDs. This was because of the fact that both TOPO and PS-SH ligands form co-ordinate bond with QD surface and, hence, P4VP was effective, as a multidentate ligand, in displacing them from QD surface. This revealed that the thiol chemistry for binding ligands to QDs behave very differently than that in metallic nanoparticles. Apart from this, self-assembly approach was further used to incorporate anisotropic semiconducting nanoparticles such as nanoplatelets and nanorods with the same surface chemistry, as in QDs, within the self-assembled structure of BCP. It was observed that both nanorods and nanoplates macrophase separated, plausibly due to the stronger inter-rod/inter-platelet interactions in comparison to interaction between P4VP block and ligand bound to the particles surface.

In the second part of this research, the approach was extended to fabricate multifunctional nano-objects by adding second targeted functionality i.e. sulforhodamine 101(S101) during the BCP/QD self-assembly. The organization of two different functionalities within the confined space of the self-organized BCP domains enabled them to localize at distances comparable to their Förster radius enabling an efficient fluorescence resonance energy transfer (FRET) between them. There was a reduction in the mean lifetime and PL quenching of CdSe QDs in the presence of S101 dye, which showed effective energy transfer from donor CdSe QDs to acceptor S101 dye molecules. Similarly, another targeted functionality i.e. Au NPs were also used to fabricate multi-functional nano-objects. The confinement imposed by the nanometer-sized cylindrical core resulted in the localization of the CdSe QDs and Au NPs in close proximity. It was found that photoluminescence properties of QDs could be manipulated by changing the concentration of Au NPs while keeping QDs constant within the nano-dimension geometry of the fibers obtained via self-assembly process of PS-*b*-P4VP BCP. Interestingly, it was observed that PL intensity of CdSe QDs increased at very low weight ratio of Au NPs. On further increasing the amount of Au NPs, the PL intensity decreased and then completely diminished at high ratios.

The present research not only provides fundamental information on the self-assembly behavior of BCP/QD hybrid systems but also has importance for developing nanostructured materials for potential application in sensing, bio-imaging, and functional optoelectronic devices.

सार

बहुलक-अकार्बनिक संकर सामग्री रोमांचक अवसर प्रदान करते हैं क्योंकि वे दोनों घटकों से आशाजनक गुणों का प्रदर्शन कर सकते हैं जो विविध अनुप्रयोगों जैसे कि सेंसर, कंपोजिट, कैटेलिसिस, ऊर्जा रूपांतरण /भंडारण आदि के लिए वांछनीय हैं। ब्लॉक कॉपोलीमर की स्व-असेंबली अच्छी तरह से परिभाषित आकृति विज्ञान के साथ इस तरह के संकर सामग्री के निर्माण के लिए अकार्बनिक नैनोकणों की सभा को निर्देशित करने के लिए आकर्षक दृष्टिकोणों में से एक रही है। वर्तमान कार्य में, हम क्वांटम डॉट्स की सभा को संगठित संरचनाओं में निर्देशित करने के लिए स्व-असेंबली दृष्टिकोण को प्रदर्शित करते हैं। इसके साथ ही ब्लॉक कॉपोलीमर के आत्म-इकट्टे आकारिकी को अलग-अलग फोटोल्यूमिनेसेंट कोर-शेल हाइब्रिड नैनो-ऑब्जेक्ट्स को चयनात्मक विलायक दृष्टिकोण के माध्यम से प्राप्त करने के लिए भी इस्तेमाल किया जा सकता है।

वर्तमान अध्ययन का पहला भाग ज्यादातर ब्लॉक कॉपोलीमर/क्वांटम डॉट्स संकरों के स्व-असेंबली व्यवहार के मौलिक अध्ययन पर केंद्रित था। इसमें ब्लॉक कोपोलीमर्स के आत्म-इकट्टे ढाँचों के भीतर क्वांटम डॉट्स का स्थानीयकरण और ब्लॉक कॉपोलीमर स्व-असेंबली पर क्वांटम डॉट्स के माप, आकार और सतह रसायन विज्ञान के प्रभाव पर जांच शामिल थी। बेलनकार ढांचा बनाने वाले PS-b-P4VP ब्लॉक कॉपोलीमर को टोपो कैण्ड CdSe क्वांटम डॉट्स व्यास लगभग 3.8 नैनोमीटर के साथ मिलाया गया था। यह पाया गया कि क्वांटम डॉट्स सतह से टोपो लिगेंड विस्थापन के माध्यम से पी-4-वीपी श्रृंखलाओं के साथ सहज रूप से संगत थे। हालाँकि, क्वांटम डॉट्स को स्थानीय करने के लिए मिला PS/P4VP इंटरफेज पर अधिमानतः आत्म-इकट्टे संरचना के ऊर्जावानों को कम करने के लिए, अनुवादकीय एन्ट्रापी हासिल करने के लिए संभव है। दिलचस्प रूप से, ब्लॉक कॉपोलीमर/क्वांटम डॉट्स मिश्रण में क्वांटम डॉट्स के बढ़ते वजन अंश के साथ रूपात्मक परिवर्तन देखा गया था, जो कि क्वांटम डॉट्स सतह से विस्थापित टोपो के पीएस चरण में प्रभावी रूप से प्रवासित था। जिसके कारण पीएस चरण का कुल आयतन बढ़ गया। इसलिए,

PS-b-P4VP ब्लॉक कॉपोलीमर पीएस के साथ अल्पसंख्यक ब्लॉक के रूप में क्वांटम डॉट्स के साथ अपने समग्र रूप में लैमेलर आकृति विज्ञान प्रदर्शित किया। सबसे दिलचस्प बात, यह पाया गया कि व्यास लगभग 7.4 नैनोमीटर के टोपो कैपड क्वांटम डॉट्स को शामिल करने के बाद, बीसीपी आकृति विज्ञान छिद्रित लैमेलर आकारिकी में बदल गयी। इसके अलावा, क्वांटम डॉट्स P4VP वेध के भीतर विशेष रूप से स्थानीयकृत थे। यह बल्क ब्लॉक कॉपोलीमर / नैनोकण मिश्रण प्रणालियों में इस तरह के पहले अवलोकन में से एक है और P4VP वेध में क्वांटम डॉट्स के स्थानीयकरण के बाद श्रृंखला पैकिंग कुंठा के उन्मूलन को छिद्रित लैमेलर आकृति विज्ञान के गठन के लिए जिम्मेदार ठहराया गया था।

इसके अतिरिक्त, पीएस चरण में क्वांटम डॉट्स को शामिल करने के लिए, क्वांटम डॉट्स को लिगेंड विस्थापन दृष्टिकोण के माध्यम से थायोल टर्मिनेटेड PS ऑलिगोमेर द्वारा स्थिर किया गया था। यह पाया गया कि PS-स्थिर क्वांटम डॉट्स भी P4VP चरण में स्थानीयकृत हैं, जो क्वांटम डॉट्स सतह से लिगेंड-विस्थापन के माध्यम से संचालित होता है, जैसा कि टोपो लेपित क्वांटम डॉट्स के साथ पाया गया था। यह इस तथ्य के कारण था कि टोपो और थायोल टर्मिनेटेड पीएस दोनों लिगेण्ड्स क्वांटम डॉट्स सतह के साथ को-ऑर्डिनेट बॉन्ड बनाते हैं और इसलिए, उनको क्वांटम डॉट्स सतह से विस्थापित करने में, P4VP मल्टीगेट लिगेण्ड के रूप में प्रभावी था। यह पता चला है कि क्वांटम डॉट्स के साथ बंधन बनाने के लिए थायोल रसायन, धातु नैनोकणों में की तुलना में बहुत अलग व्यवहार करते हैं। इसके अलावा, ब्लॉक कॉपोलीमर के आत्म-इकट्टे ढांचे के भीतर स्व-असेंबली दृष्टिकोण का उपयोग एनिसोट्रॉपीक अर्धचालकता नैनोकणों जैसे नैनोप्लेटलेट्स और नैनोरॉड्स को समान सतह रसायन, जैसा कि क्वांटम डॉट्स में है, के साथ शामिल करने के लिए आगे किया गया था। यह देखा गया कि दोनों नैनोरॉड्स और नैनोप्लेटलेट्स मैक्रोफेज अलग हो गए, संभवतः P4VP ब्लॉक और कणों की सतह पर बंधे लिगेण्ड के बीच बातचीत की तुलना में मजबूत इंटर-रॉड / इंटर-प्लेटलेट इंटरैक्शन के कारण।

इस शोध के दूसरे भाग में, ब्लॉक कॉपोलीमर/क्वांटम डॉट्स के स्व-असेंबली के दौरान दूसरे लक्षित कार्यक्षमता यानि साल्फोरोडामिन101 को जोड़कर मल्टीफंक्शनल नैनोऑब्जेक्ट्स को बनाने के लिए दृष्टिकोण को बढ़ाया गया था। आत्म-इकट्टे ब्लॉक कॉपोलीमर डोमेन के सीमित स्थान के भीतर दो अलग-अलग कार्यात्मकताओं के संगठन ने उन्हें अपने फॉस्टर रेडियस के बराबर दूरी पर स्थानीयकरण करने में सक्षम किया और उनके बीच एक कुशल प्रतिदीप्ति अनुनाद ऊर्जा हस्तांतरण को सक्षम किया। साल्फोरोडामिन101 डाई की उपस्थिति में CdSe क्वांटम डॉट्स की औसत जीवनकाल और फोटोल्यूमिनेसेंस शमन में कमी थी, जिसने दाता CdSe क्वांटम डॉट्स से स्वीकर्ता साल्फोरोडामिन101 डाई अणुओं में प्रभावी ऊर्जा हस्तांतरण दिखाया। इसी तरह, एक और लक्षित कार्यक्षमता यानी Au नैनोकणों का उपयोग बहु-कार्यात्मक नैनो-ऑब्जेक्ट्स को बनाने के लिए भी किया गया था। नैनोमीटर के आकार के बेलनाकार कोर द्वारा लगाए गए परिरोध का परिणाम CdSe क्वांटम डॉट्स और Au नैनोकणों के स्थानीयकरण से हुआ। यह पाया गया कि PS-b-P4VP ब्लॉक कॉपोलीमर के स्व-असेंबली प्रक्रिया के माध्यम से प्राप्त तंतुओं के नैनो-आयाम ज्यामिति के भीतर क्वांटम डॉट्स को स्थिर रखते हुए क्वांटम डॉट्स की फोटोल्यूमिनेसेंस गुणों को Au नैनोकणों की एकाग्रता में परिवर्तन करके नियंत्रित किया जा सकता है। दिलचस्प बात यह है कि यह देखा गया कि CdSe क्वांटम डॉट्स की फोटोल्यूमिनेसेंस तीव्रता Au नैनोकणों के बहुत कम वजन अनुपात में बढ़ गई। Au नैनोकणों की मात्रा को और बढ़ाने पर, फोटोल्यूमिनेसेंस की तीव्रता कम हो गई और फिर उच्च अनुपात में पूरी तरह से कम हो गई।

वर्तमान शोध न केवल ब्लॉक कॉपोलीमर/क्वांटम डॉट्स मिश्रण प्रणालियों के स्व-असेंबली व्यवहार पर मौलिक जानकारी प्रदान करता है, बल्कि संवेदी, जैव-इमेजिंग, और कार्यात्मक ऑप्टोइलेक्ट्रॉनिक उपकरणों में संभावित अनुप्रयोग के लिए नैनोस्ट्रक्चर सामग्री को विकसित करने के लिए भी महत्वपूर्ण है।

Contents

Certificate	i
Acknowledgments	ii
Abstract	vi
सार	viii
Table of Contents	xi
List of Figures	xv
List of Tables	xxiii
List of Symbols and Abbreviation	xxiv
Chapter 1 Introduction and Motivation	1
1.1 Introduction	1
1.2 Research Objectives	4
1.3 Format of the thesis	5
1.4 References	7
Chapter 2 Theoretical background and Literature Overview	11
2.1 Block Copolymer Self-Assembly	11
2.2 Self-Assembly of Block Copolymer-Nanoparticle hybrids	15
2.3 Polymer Nano-objects as Templates	26
2.3.1 Core-stabilized nano-objects via cross linking	26
2.3.2 Nano-objects without Cross-Linking	32
2.4 Multifunctional Nano-objects Based on the Block Copolymer/Quantum Dots Composites	36
2.5 Conclusions	39
2.6 References	40

Chapter 3 Experimental Techniques	47
3.1 Transmission Electron Microscopy	47
3.2 Scanning Electron Microscopy	49
3.3 Energy Dispersive X-ray Analysis	50
3.4 Small angle X-Ray Scattering	51
3.5 UV-visible Absorption Spectroscopy	53
3.6 Photoluminescence Spectroscopy	54
3.7 Time-Resolved Photoluminescence Spectroscopy	56
3.8 Thermogravimetry Analysis	57
3.9 Fourier Transformation Infrared Spectroscopy	58
3.10 Nuclear Magnetic Resonance Spectroscopy	59
3.10.1 Theory of NMR Spectroscopy	60
3.11 References	61
Chapter 4 Self-Assembly Behavior of Block Copolymer/QDs Hybrids and Formation of Photo-luminescent Polymer Nano-objects	63
4.1 Introduction	63
4.2 Experimental	66
4.2.1 Materials	66
4.2.2 Synthesis of CdSe quantum dots	66
4.2.3 Preparation of BCP/CdSe composites and nanoobjects	67
4.3 Characterizations Techniques	67
4.3.1 Small Angle X-ray Scattering (SAXS)	68
4.3.2 Transmission Electron Microscope (TEM)	68
4.3.3 Optical Measurements	68
4.4 Result and Discussion	69
4.4.1 Bulk morphology of S ₁₇₈ VP ₃₈₆ /CdSe composites	72
4.4.2 Bulk morphology of S ₅₄₃ 4VP ₂₁₄ /CdSe Composites	85
4.4.3 Nano-objects from S ₁₇₈ VP ₃₈₆ /CdSe and S ₅₄₃ VP ₂₁₄ /CdSe Composites	91
4.5 Conclusions	98
4.6 References	99

Chapter 5 Effect of Size, Shape and Ligand Chemistry of Quantum Dots on the Self-Assembly Behavior of Block Copolymer/QDs Hybrids	105
5.1 Introduction	105
5.2 Experimental	108
5.2.1 Materials	108
5.2.2 CdSe/CdS QDs Synthesis <i>via</i> Successive ionic layer adsorption and reaction (SILAR) Method	109
5.2.3 Synthesis of PS-grafted CdSe/CdS QDs	109
5.2.4 Synthesis of ZnSe/CdS Nanorods	110
5.2.5 Synthesis of CdSe NPLs	110
5.2.6 Preparation of BCP/CdSe/CdS Hybrids and Nano-objects	111
5.3 Characterizations Techniques	111
5.3.1 Transmission Electron Microscope (TEM)	111
5.3.2 Small Angle X-ray Scattering (SAXS)	112
5.3.3 Optical Measurements	112
5.4 Result and Discussion	113
5.4.1 Effect of the QDs size on the localization and self-assembly behavior of BCP/QDs hybrids	113
5.4.2 Effect of ligand chemistry bound to the surface of quantum dots	135
5.4.3 Effect of the shape of the QDs	140
5.5 Conclusions	149
5.6 References	150
Chapter 6 Multifunctional Nanofibers for Forster Resonance Energy Transfer (FRET) Studies	154
6.1 Introduction	154
6.2 Experimental	156
6.2.1 Materials	156
6.2.2 Synthesis of CdSe quantum dots	157
6.2.3 Preparation of BCP/S101/CdSe composite nanofibers	
6.3 Characterizations Techniques	157
6.3.1 Optical Measurements	158
6.3.2 Morphology Characterization	158
6.4 Result and Discussion	159
6.5 Conclusions	159
6.6 References	180

	180
Chapter 7 Multifunctional Nanofibers for Probing Interactions Between Photoluminescent QDs and Plasmonic Nanoparticles	185
7.1 Introduction	185
7.2 Experimental	189
7.2.1 Synthesis of CdSe quantum dots	189
7.2.2 Synthesis of Au NPs	189
7.2.3 Preparation of BCP/CdSe/Au composite nanostructures	190
7.3 Characterizations Techniques	191
7.3.1 Optical Measurements	191
7.3.2 Morphology Characterization	192
7.4 Result and Discussion	193
7.5 Conclusions	210
7.6 References	211
Chapter 8 Summary and Future Outlook	217
8.1 Summary	217
8.2 Future Outlook	222
8.3 References	224
Curriculum Vitae	226

LIST OF FIGURES

- Figure 2.1** *Different type of block copolymers.*
- Figure 2.2** *The dependence of chain length and curvature on the observed morphologies.*
- Figure 2.3** *(a) Equilibrium morphologies of AB diblock copolymer in bulk: S and S' = body-centered-cubic spheres, C and C' = hexagonally packed cylinders, G and G' = bicontinuous gyroids, and L = lamellae. (b) Theoretical phase diagram of AB diblock predicted by the self-consistent mean-field theory, depending on the volume fraction (f) of the blocks and the segregation parameters, χN , where χ is the Flory-Huggins segment-segment interaction energy and N is the degree of polymerization; CPS and CPS' = closely packed spheres. (c) Experimental phase portrait of polyisoprene-block-polystyrene copolymers, in which f_A represents the volume fraction of polyisoprene, PL = perforated lamellae*
- Figure 2.4** *The Phase diagram of ABC triblock copolymer.*
- Figure 2.5** *Various modes of nanoparticle localization in block copolymer matrices. The block copolymer consists of block A (depicted red) with a size of $L_A/2$ and block B (depicted blue) with size $L_B/2$ ($L_A/2$ and $L_B/2$ are related to the polymer radius of gyration). The NP diameter is d_{NP} . Top, centre schematic shows the dispersion of smaller ($d_{NP}/(L_A+L_B) < 0.26$) non-selective NPs (having no affinity for either A or B blocks) in the BCP interfacial region (where the A and B blocks are covalently connected). Right, top schematic depicts phase separation into polymer-rich and particle-rich phases, and aggregation of larger non-selective NPs. Right, bottom schematic shows the localization of Janus NPs in the BCP interfacial region. Bottom, middle shows the uniform dispersion of smaller NPs selective for the B block. Bottom left presents a dispersion of larger selective NPs in the centre of the B polymer domain ($d_{NP}/(L_A+L_B) > 0.30$). Top left schematic represents the dispersion of smaller or larger selective NPs in the centre of B polymeric domain when the B block possesses rigid conformation.*
- Figure 2.6** *TEM images of (a) Au NPs coated PS uniformly distributed in the selective PS polymeric domain of PS-*b*-P2VP and (b) Au NPs coated with mixture of PS and P2VP chains selective to both blocks located at the BCP interfacial region.*
- Figure 2.7** *Influence of NP size on the particle localization: small nonselective Au NPs locate in the BCP interfacial region, while large silica NPs locate in the centre of the domain.*
- Figure 2.8** *Hydrogen bonding induces the morphological transformation from HEX structure of neat PS-*b*-P4VP BCP to lamellar CdS/PS-*b*-P4VP composite upon selective aggregation of CdS NPs into the P4VP phase.*

- Figure 2.9** *Schematic Representation of NP-Driven Assembly of BCPs via H-Bonding.*
- Figure 2.10** *Directed assembly of QDs into well-ordered lamellar morphologies with widely tuneable d-spacings using PS-b-PEO BBCPs as the templates.*
- Figure 2.11** *Structures of PEO-b-PtBA (a), Au-OH NPs with 4-hydroxythiophenol as ligands (b), and D-tartaric acid (c). (d) Schematic demonstration of PEO-b-PtBA blended with only Au-OH NPs and Au-OH NPs together with D-tartaric acid.*
- Figure 2.12** *Process of synthesis of hybrid cylindrical block copolymer.*
- Figure 2.13** *Cross-sectional TEM images of PS-b-P2VP block copolymer containing PS-coated gold nanoparticles whose surfaces are covered with various chain areal densities of PS chains (M_n) 3.4 kg/mol): (a) 1.64, (b) 1.45, (c) 1.22, and (d) 0.83 chains/nm². Scale bar is 100 nm.*
- Figure 2.14** *Schematic representation for the fabrication of BCP/NP hybrid materials using PS-b-PLA BCPs as the templates.*
- Figure 2.15** *TEM image of PS-b-PI nanofibers obtained after chemical crosslinking.*
- Figure 2.16** *Schematic representation of formation of nanofibers by photo-crosslinking of core.*
- Figure 2.17** *(a) Scheme depicting fabrication of ordered organic/inorganic hybrid materials by self-assembly of gelable PTEPM-b-PS block copolymer in bulk and isolated hybrid plates and cylinders (Red, PTEPM block; yellow, PS block; blue, PTEPM + silica network); (b-d) TEM micrographs of the hybrid nano-objects obtained through dispersing the bulk samples in THF after gelation. Scale bar: (a) 1000, (b) 500, and (c) 200 nm.*
- Figure 2.18** *(a) Schematic drawing of the approach used for synthesis of metal alkoxide loaded single nano-objects with controlled shape, size, and composition, and SEM images of the iron oxide-aluminosilicate (b) spheres, (c) cylinders, and (d) plates obtained from polymer nano-objects as template on mica.*
- Figure 2.19** *Preparation of Functional Nanoobjects with Different Morphologies by the Procedure of Microphase-Separated PtBA-b-PGMA Block Copolymers, Cross-Linking PGMA Domains, and Dispersing in Solvent of PtBA.*
- Figure 2.20** *TEM micrographs of the hybrid nano-objects loaded with gold nanoparticles dispersed in water (pH = 3). The BCPs used were: (a) PTEPM58-b-P2VP474, (b) PTEPM37-b-P2VP540, and (c) PTEPM35-b-P2VP1360, here, the subscripts denote degree of polymerization of each BCP, whereas the insets on each image are the magnified parts of particular nanoobjects bearing gold nanoparticles.*

- Figure 2.21** (a) Schematic illustration of isolation of polymer nano-objects from PS-*b*-P4VP bulk structure without any cross-linking and their further use as templates for the preparation of organic-inorganic hybrid nanofibers.
- Figure 2.22** (a) Illustration of comb-coil supramolecular assembly (SMA) based on PS-*b*-P4VP(PDP)1.0 ($\phi(\text{PS})=23\%$), the hierarchical structures formed by co-assembly of the PS-*b*-P4VP(PDP) SMA and PS-grafted Au NPs, and isolated hybrid cylindrical micelles obtained by solvent-assisted disassembling of the Au NP-loaded PS-*b*-P4VP(PDP) SMA (b) Bright-field TEM image of isolated PS-*b*-P4VP wormlike micelles with encapsulated 6.5 nm Au NPs; the inset shows the dark-field TEM image.
- Figure 2.23** TEM image of a single NF isolated from the Ag NP/PS-*b*-P4VP composite. The closely packed ordered structure of Ag NPs is clear from the TEM image.
- Figure 2.24** Transmission electron micrographs (TEM) of magnetic fluorescent nanobeads (MFNBs) prepared by varying either the ratio QD: MNP or the destabilizing solvent.
- Figure 2.25** Schematic of the fabrication of a single-layered film of PS-PVP micelles containing S101 in the core and QDs in the periphery of micelles.
- Figure 3.1** Schematic diagram of Transmission Electron Microscope Instrument.
- Figure 3.2** Jablonski diagram representation of the electronic energy levels, emphasizing the different transition steps involved in the absorption and emission processes.
- Figure 3.3** Simplified representation of an FTIR spectrometer.
- Figure 4.1** SAXS intensity profiles of neat block copolymers used in the study.
- Figure 4.2** (a) TEM micrograph of neat S₁₇₈VP₃₈₆ block copolymer clearly revealing the cylindrical morphology formed by the block copolymer. The cross-section along minor as well as major axis of cylindrical domains are clearly visible in the TEM image. (b) TEM image of cylindrical nano-objects isolated by selective swelling of neat S₁₇₈VP₃₈₆ block copolymer in methanol.
- Figure 4.3** (a) TEM image, (b) corresponding size histogram, (c) UV-vis absorption and (d) photoluminescence spectra of TOPO capped CdSe quantum dots.
- Figure 4.4** SAXS intensity profiles of S₁₇₈VP₃₈₆/CdSe composites, at different loading of CdSe QDs (wt%), in the (a) low-*q* and (b) high-*q* region.
- Figure 4.5** ³¹P NMR of S₁₇₈VP₃₈₆/CdSe composite with triphenylphosphine as an internal reference. A sharp signal was observed at 48 ppm, which corresponded to free TOPO ligands in CDCl₃. This explicitly showed that ligand displacement did occur in the presence of P4VP.

- Figure 4.6** TEM images for PS and P4VP homopolymer based composite samples (a) hPS/QDs, (b) hP4VP/QDs, and (c) hPS/hP4VP/QDs, and (d) corresponding SAXS intensity profile.
- Figure 4.7** TGA plot of TOPO bound CdSe QDs from where the amount of ligand bound to CdSe was ascertained.
- Figure 4.8** TEM images of $S_{178}VP_{386}/CdSe$ composites at different CdSe loading (wt%) (a) 10, (b) 30, (c) 40, (d) 50. The inset in (c) shows the higher magnification image where the scale bar corresponds to 50 nm.
- Figure 4.9** Domain spacing variation with CdSe content for $S_{178}VP_{386}/CdSe$ composites.
- Figure 4.10** Schematic illustration depicting the cylinder to lamellae transition on addition of TOPO coated CdSe quantum dots in $S_{178}VP_{386}/CdSe$ composites. The stretching of longer P4VP chains in the lamellar morphology led to a significant increase in domain spacing.
- Figure 4.11** SAXS intensity profiles of $S_{543}VP_{214}/CdSe$ composites, at different loading of CdSe QDs (wt%), in the (a) low- q and (b) high- q region.
- Figure 4.12** TEM images of $S_{543}VP_{214}/CdSe$ composites at different CdSe loading (wt%) (a) 20, (b) 30, (c) 50, (d) 60. The inset in (a) and (d) shows the higher magnification image where the scale bar corresponds to 50 nm.
- Figure 4.13** Domain spacing variation with CdSe content for $S_{543}VP_{214}/CdSe$ composites.
- Figure 4.14** Schematic illustration depicting the morphological changes, on addition of TOPO-CdSe quantum dots, in $S_{543}VP_{214}/CdSe$ composites. The localization of CdSe quantum dots together with a fraction of TOPO at the interface plausibly reduces the interfacial tension leading to a more relaxed conformation of the chains which results in the reduction of domain spacing.
- Figure 4.15** TEM images of nanoobjects isolated from $S_{178}VP_{386}/CdSe$ composites by swelling in methanol at different CdSe loading (wt%) (a) 10, (b) 30, (c) 40, (d) 50.
- Figure 4.16** (a) UV-vis and (b) PL spectra of dispersion of nanoobjects, isolated from $S_{178}VP_{386}/CdSe$ composites, in methanol
- Figure 4.17** TEM images of nanoobjects isolated from $S_{543}VP_{214}/CdSe$ composites by swelling in cyclohexane at different CdSe loading (wt%) (a) 20, (b) 30, (c) 50, (d) 60. The inset in (a) shows the higher magnification image where the scale bar corresponds to 50 nm. The CdSe QDs could be clearly seen localized in the nano-objects.
- Figure 4.18** (a) UV-vis and (b) PL spectra of dispersion of nanoobjects, isolated from $S_{543}VP_{214}/CdSe$ composites, in cyclohexane.

- Figure 5.1** (a) TEM image, (b) corresponding size histogram, (c) UV-vis absorption and (d) fluorescence spectrum of CdSe/CdS quantum dots.
- Figure 5.2** SAXS intensity profiles of $S_{178}VP_{386}/CdSe/CdS$ composites, at different loading of CdSe/CdS QDs (wt%).
- Figure 5.3** TGA plot of TOPO bound CdSe/CdS QDs from where the amount of ligand bound to CdSe/CdS was ascertained.
- Figure 5.4** TEM images of unstained samples of $S_{178}VP_{386}/CdSe/CdS$ hybrids at different CdSe/CdS loading (wt.%) (a) 10, (b) 20, (c) 30, (d) 40.
- Figure 5.5** TEM images of $S_{178}VP_{386}/CdSe/CdS$ hybrids at different CdSe/CdS loading (wt.%) (a) 10, (b) 20, (c) 30, (d) 40. Samples was stained with I_2 for 60 min. The inset in (b) shows the higher magnification image and where the scale bar corresponds to 50 nm.
- Figure 5.6** HAADF-STEM micrograph and element distribution maps based on EDX of $S_{178}VP_{386}/CdSe/CdS$ composite bulk sample at 10wt.% of CdSe/CdS QDs (a) nitrogen, (b) cadmium, and (c) combined nitrogen and cadmium.
- Figure 5.7** TEM images of nanoobjects isolated from $S_{178}VP_{386}/CdSe/CdS$ composites by swelling in methanol at different CdSe/CdS loading (wt%) (a) 10, (b) 20, (c) 30, (d) 40.
- Figure 5.8** Schematic illustration depicting the stability of hexagonally perforated lamellar morphology in the presence of CdSe/CdS QDs.
- Figure 5.9** (a) UV-vis and (b) PL spectra of dispersion of nanoobjects, isolated from $S_{178}VP_{386}/CdSe/CdS$ composites, in ethanol.
- Figure 5.10** TEM micrographs of $S_{178}VP_{386}/CdSe/CdS$ composites refluxed in ethanol at different time (a) 3 h (b) 10 h (c) 14 h, and (d) 24 h.
- Figure 5.11** SAXS intensity profiles of $S_{543}VP_{214}/CdSe/CdS$ composites, at different loading of CdSe/CdS QDs (wt%).
- Figure 5.12** TEM images of unstained samples of $S_{543}VP_{214}/CdSe/CdS$ composites at different CdSe/CdS loading (wt%) (a) 10, (b) 20, (c) 30 and, (d) 40.
- Figure 5.13** TEM images of $S_{543}VP_{214}/CdSe/CdS$ composites at different CdSe/CdS loading (wt.%) (a) 10, (b) 20, and (c). The samples were stained with I_2 .
- Figure 5.14** TEM images of nanoobjects isolated from $S_{543}VP_{214}/CdSe/CdS$ composites by swelling in cyclohexane at different CdSe/CdS loading (wt%) (a) 10, (b) 20, (c) 30, (d) 40.
- Figure 5.15** (a) UV-vis and (b) PL spectra of dispersion of nanoobjects, isolated from $S_{543}VP_{214}/CdSe$ composites, in cyclohexane.

- Figure 5.16** TEM micrographs of $S_{178}VP_{386}/CdSe/CdS$ composites refluxed in ethanol at different time (a) 3 h (b) 7 h (c) 14 h, and (d) 24 h.
- Figure 5.17** (a) TEM image of PS-stabilized CdSe/CdS QDs (b) Corresponding size histogram.
- Figure 5.18** FTIR spectra of TOPO-coated CdSe/CdS QDs (black curve), PS-SH (red curve), and PS-stabilized CdSe/CdS QDs.
- Figure 5.19** TEM micrograph of $S_{178}VP_{386}/CdSe/CdS$ composite at 10wt.% of PS-CdSe/CdS QDs.
- Figure 5.20** TEM images of nanoobject isolated from $S_{178}VP_{386}/PS-CdSe/CdS$ composites by swelling in ethanol at 10wt.% of PS-CdSe/CdS QDs.
- Figure 5.21** (a) TEM image, (b) corresponding size histogram, (c) UV-vis absorption and (d) photoluminescence spectra of ZnSe/CdS nanorods.
- Figure 5.22** SAXS intensity profiles of (a) $S_{178}VP_{386}/ZnSe/CdS$ and (b) $S_{543}VP_{214}/ZnSe/CdS$ at different loading of ZnSe/CdS NRs (wt.%).
- Figure 5.23** TEM micrographs of $S_{178}VP_{386}/ZnSe/CdS$ composites, at different loading of ZnSe/CdS NRs (wt.%) (a) 10wt.% and (b) 20wt.%
- Figure 5.24** TEM micrographs of $S_{543}VP_{214}/ZnSe/CdS$ composites, at different loading of ZnSe/CdS NRs (wt.%) (a) 5 wt.% (b) 10 wt.% and (c) 20 wt.%.
- Figure 5.25** (a) TEM image and (b) absorption and photoluminescence spectra of CdSe Nanoplatelets. The inset of figure (a) shows corresponding the size distribution plot.
- Figure 5.26** SAXS intensity profiles of (a) $S_{178}VP_{386}/CdSe$ and (b) $S_{543}VP_{214}/CdSe$ at different loading of CdSe NPLs (wt.%).
- Figure 5.27** TEM micrographs of (a) $S_{178}VP_{386}/CdSe$ and (b) $S_{543}VP_{214}/CdSe$ composites at 10% of CdSe NPLs.
- Figure 6.1** (a) TEM image, (b) corresponding size histogram, (c) UV-vis absorption and (d) Photoluminescence spectrum of CdSe quantum dots.
- Figure 6.2** Overlapping of emission spectrum of CdSe QDs in black line and absorption spectrum of S101 dye in red line.
- Figure 6.3** (a) Molecular Structure, (b) UV-vis Absorbance spectrum, and (c) PL spectrum of S101 dye.
- Figure 6.4** Schematic of the fabrication of a multifunctional nanofiber containing QDs and S101 in P4VP core of PS-b-P4VP nanofiber.
- Figure 6.5** TEM images of multifunctional nanofibers from $S_{543}VP_{214}/S101/CdSe$ composite obtained by swelling in cyclohexane at different loading of S101

dye (S101: CdSe molar ratio): (a) 1:1, (b) 2:1 (c) 4:1, and (d) 6:1. The scale bar in the images is 100 nm and TEM images nicely show the QDs in the nanofiber cores. The inset in (d) shows the schematic illustration of multifunctional nanofiber.

Figure 6.6 FTIR spectra for the ionic complexation between the P4VP and S101 dye at the ratio of 1:0.05, respectively.

Figure 6.7 (a) UV-vis Absorbance Spectra (b) Normalized PL spectra of multifunctional nanofibers containing CdSe QDs and S101 dye in the P4VP core of the PS-b-P4VP nanofiber, and (c) Normalized PL Spectra of S₅₄₃4VP₂₁₄/S101 composite solution in the absence (Dashed line) and presence of CdSe QDs (Solid line).

Figure 6.8 Normalized PL Spectra of S₅₄₃4VP₂₁₄/S101 composite solution in the absence (Dashed line) and presence of CdSe QDs (Solid line). Spectra have been deconvoluted into two separate contributions from the QDs and dye. The red line is the contribution only from CdSe whereas green line is the contribution only from S101, as obtained after deconvolution of the solid black line for S101: CdSe 1:1 case.

Figure 6.9 Stern-Volmer plot for the CdSe QDs (~2.8 nm) in the presence of S101 dye quencher.

Figure 6.10 Time-resolved fluorescence spectra monitored at emission maxima of CdSe QDs. Black lines are fitting to the decay curve. Legends shows dye: QD ratios in terms of molar concentration.

Figure 7.1 (a) TEM image, (b) absorption spectrum (black curve) and PL spectrum (red curve) of CdSe QDs, (c) TEM image, (d) absorption spectrum of Au NPs. The inset in (a) and (c) show the corresponding size distribution plot (red curve is Gaussian fit to histogram bars).

Figure 7.2 Superposition of the emission spectrum of the CdSe QDs in red line and the absorption spectrum of the Au NPs in black line.

Figure 7.3 Schematic illustration for the preparation of BCP composite nanofibers containing Au NPs and CdSe QDs in the core of the nanofibers.

Figure 7.4 TEM image of nanofibers of the S₅₄₃4VP₂₁₄/Au NPs composite. It could be noted that the image shows two touching nanofibers.

Figure 7.5 SAXS intensity profiles of various S₅₄₃4VP₂₁₄/CdSe/Au composites.

Figure 7.6 TEM image of the ultramicrotomed S₅₄₃4VP₂₁₄/CdSe/Au composite at a CdSe: Au ratio of 1:1.

Figure 7.7 TEM images of multifunctional nanofibers released in cyclohexane after swelling and disintegration of PS matrix of S₅₄₃4VP₂₁₄/CdSe/Au composites

with different CdSe:Au weight ratios: (a) 1:0.1, (b) 1:0.3, (c) 1:0.5, (d) 1:0.7 and (e) 1:1. The inset in between (a) and (b) shows a schematic representation of the multifunctional nanofiber.

Figure 7.8 HAADF-STEM micrograph and element distribution maps based on EDX of $S_{5434VP_{214}}/CdSe/Au$ composite multifunctional nanofibers at CdSe:Au weight ratio of 1:1.

Figure 7.9 (a) UV-vis absorption spectra; (b) PL emission spectra of the polymer composite nanofibers, encapsulating CdSe QDs and Au NPs, dispersed in cyclohexane. PL spectra were normalized to the absorbance at the excitation wavelength ($\lambda_{ex}=377$ nm).

Figure 7.10 PL spectra of chloroform solutions containing a mixture of CdSe QDs and Au NPs at weight ratios similar to those maintained in $S_{5434VP_{214}}/CdSe/Au$ composites.

Figure 7.11 (a) UV-vis absorption spectra (b) PL emission spectra (normalized to the absorbance of the excitation wavelength) of multifunctional nanofibers at a higher amount of CdSe QDs (10 wt.%), keeping the CdSe QDs-to-Au NPs weight ratios the same.

Figure 7.12 Time-resolved PL spectra of $S_{5434VP_{214}}/CdSe/Au$ multifunctional nanofibers examined at the emission maxima of CdSe QDs. The black continuous curves are the fitted curves.

Figure 7.13 Schematic diagram for the mechanism behind QD emission enhancement and quenching in multifunctional nanofibers.

LIST OF TABLES

- Table 3.1** *List of nuclei which possess an overall spin.*
- Table 4.1** *Composition details of S₁₇₈4VP₃₈₆/CdSe composites*
- Table 4.2** *Composition details of S₅₄₃4VP₂₁₄/CdSe composites*
- Table 6.1** *Composition details of S₅₄₃4VP₂₁₄/CdSe/S101 composites*
- Table 6.2** *Time resolved fluorescence decay parameters (at QDs emission wavelength) of the multifunctional nanofibers.*
- Table 6.3** *Energy transfer parameters and the average distance (r) considering single donor-single acceptor system for different concentration of S101 using the FRET theory.*
- Table 6.4** *The values of quenching parameters of CdSe QDs in the presence of dye using kinetic model.*
- Table 6.5** *Average number of S101 dye molecules around the single CdSe QDs and the average distance (r_n) considering single donor-multiple acceptor system for different concentration of S101 using the FRET theory.*
- Table 7.1** *Detailed amount of BCP, CdSe QDs, Au NPs used for the preparation of samples.*
- Table 7.2** *Time-resolved fluorescence decay parameters (at QD emission wavelength) of BCP/CdSe/Au composite nanofibers.*

LIST OF SYMBOLS AND ABBREVIATIONS

<i>BCP</i>	<i>Block copolymer</i>
<i>PS-b-P4VP</i>	<i>polystyrene-block-poly(4-vinylpyridine)</i>
<i>PS-b-PMMA</i>	<i>polystyrene-block-poly(methyl methacrylate)</i>
<i>QDs</i>	<i>quantum dots</i>
<i>NPs</i>	<i>nanoparticles</i>
<i>N</i>	<i>degree of polymerization</i>
<i>X</i>	<i>Flory-Huggins interaction parameter</i>
<i>f</i>	<i>volume fraction</i>
<i>SCFT</i>	<i>self-consistent field theory</i>
<i>PS-b-PI</i>	<i>polystyrene-block-polyisoprene</i>
<i>CPB</i>	<i>Cylindrical polymer brushes</i>
<i>ATRP</i>	<i>Atom transfer radiated polymerization</i>
<i>ROP</i>	<i>Ring opening polymerization</i>
<i>NMP</i>	<i>Nitroxide mediated polymerization</i>
<i>PBIEM</i>	<i>poly(2-(2-bromoisobutyryloxy)ethyl methacrylate)</i>
<i>PS</i>	<i>polystyrene</i>
<i>PAA</i>	<i>poly(acrylic acid)</i>
<i>PtBA</i>	<i>poly(t-butyric acid)</i>
<i>PCL</i>	<i>polycaprolactam</i>
<i>PEG</i>	<i>poly(ethylene glycol)</i>
<i>PDMAEMA</i>	<i>poly(N, N-dimethylaminoethyl methacrylate)</i>
<i>PS-b-PCEMA</i>	<i>polystyrene-block-poly(2-cinnamoyloxyethyl methacrylate)</i>
<i>PBMA</i>	<i>poly(butyl methacrylate)</i>

<i>PS-b-P2VP</i>	<i>polystyrene-block-poly(2-vinylpyridine)</i>
<i>PTEPM-b-PS</i>	<i>poly 3-(triethoxysilyl)propyl methacrylate block-polystyrene</i>
<i>PtBA-b-PGMA</i>	<i>poly(tert-butyl acrylate)-block-poly(glycidyl methacrylate)</i>
<i>EDA</i>	<i>Ethylenediamine</i>
<i>PI-b-PEO</i>	<i>polyisoprene-block-poly(ethylene oxide)</i>
<i>PDP</i>	<i>3-n-pentadecylphenol</i>
<i>POEGMA</i>	<i>poly(oligo (ethylene glycol) methacrylate)</i>
<i>PHEMA</i>	<i>poly(2-hydroxyethyl methacrylate)</i>
<i>PMAEMA</i>	<i>poly(2 methylamino)ethylmethacrylate</i>
<i>SMA</i>	<i>Supramolecular assembly</i>
<i>SI01</i>	<i>Sulphorhodamine 101</i>
<i>CdO</i>	<i>Cadmium oxide</i>
<i>TOPO</i>	<i>Trioctylphosphine oxide</i>
<i>ODA</i>	<i>Octadecylamine</i>
<i>OA</i>	<i>Oleic acid</i>
<i>OLA</i>	<i>Oleylamine</i>
<i>TOP</i>	<i>Trioctylphosphine</i>
<i>HPA</i>	<i>Hexylphosphonic acid</i>
<i>TDPA</i>	<i>Tetradecylphosphonic acid</i>
<i>SEM</i>	<i>Scanning electron microscope</i>
<i>TEM</i>	<i>Transmission electron microscope</i>
<i>EFTEM</i>	<i>Energy filtered Transmission electron microscope</i>
<i>SAXS</i>	<i>Small angle x-ray scattering</i>
<i>AFM</i>	<i>Atomic force microscopy</i>
<i>STM</i>	<i>Scanning tunneling microscope</i>

<i>PL</i>	<i>Photoluminescence</i>
<i>TRPL</i>	<i>Time-resolved photoluminescence spectroscopy</i>
<i>TCSPC</i>	<i>Time-correlated single photon counting</i>
<i>FRET</i>	<i>Fluorescence resonance energy transfer</i>
<i>QE</i>	<i>Quantum efficiency</i>
<i>FTIR</i>	<i>Fourier transformation infrared spectroscopy</i>
<i>TTIP</i>	<i>Titanium isopropoxide</i>
<i>CdSe</i>	<i>Cadmium selenide</i>
<i>CdS</i>	<i>Cadmium sulphide</i>
<i>ZnSe</i>	<i>Zinc selenide</i>
<i>MNP</i>	<i>Metal Nanoparticles</i>
<i>FNP</i>	<i>Fluorescent Nanoparticles</i>
<i>PS- b -PAMA</i>	<i>poly(allyl methacrylate)</i>
<i>PSS</i>	<i>polystyrene sulfonic acid</i>
<i>NaBH₄</i>	<i>Sodium borohydride</i>
<i>Au</i>	<i>Gold</i>
<i>Ag</i>	<i>Silver</i>
<i>SPR</i>	<i>Surface plasmon resonance</i>
<i>hPS</i>	<i>homopolystyrene</i>
<i>hP4VP</i>	<i>homopoly(4-vinylpyridine)</i>
<i>LSPR</i>	<i>localized surface plasmons resonance</i>