

**PERFORMANCE EVALUATION OF PLC SYSTEMS
UNDER NON-GAUSSIAN ADDITIVE NOISE**

AASHISH MATHUR



DEPARTMENT OF ELECTRICAL ENGINEERING

INDIAN INSTITUTE OF TECHNOLOGY DELHI

OCTOBER 2016

©Indian Institute of Technology Delhi (IITD), New Delhi, 2016

**PERFORMANCE EVALUATION OF PLC SYSTEMS
UNDER NON-GAUSSIAN ADDITIVE NOISE**

by

AASHISH MATHUR

DEPARTMENT OF ELECTRICAL ENGINEERING

Submitted

in fulfillment of the requirements of the degree of Doctor of Philosophy

to the



INDIAN INSTITUTE OF TECHNOLOGY DELHI

OCTOBER 2016

Certificate

This is to certify that the thesis entitled “**PERFORMANCE EVALUATION OF PLC SYSTEMS UNDER NON-GAUSSIAN ADDITIVE NOISE**” being submitted by **Mr. AASHISH MATHUR** to the Department of Electrical Engineering, **Indian Institute of Technology Delhi**, for the award of the degree of **Doctor of Philosophy** is the record of the bona-fide research work carried out by him under our supervision. In our opinion, the thesis has reached the standards fulfilling the requirements of the regulations relating to the degree.

The results contained in this thesis have not been submitted either in part or in full to any other university or institute for the award of any degree or diploma.

(Dr. Manav Bhatnagar)

Associate Professor

Department of Electrical Engineering

Indian Institute of Technology, Delhi

Hauz Khas, New Delhi 110016, India

(Dr. Bijaya K. Panigrahi)

Associate Professor

Department of Electrical Engineering

Indian Institute of Technology, Delhi

Hauz Khas, New Delhi 110016, India

Acknowledgements

I would like to express my profound gratitude to my advisors Dr. Manav Bhatnagar and Dr. Bijaya K. Panigrahi for their invaluable guidance, continuous encouragement, and support in every stage of this research work. Their technical acumen, precise suggestions, and timely discussions are wholeheartedly appreciated. My sincere thanks goes to Prof. Shankar Prakriya, Dr. Brijesh Lall, and Dr. Mahesh P. Abegaonkar, for their insightful comments and valuable suggestions during this research.

Most importantly, my heartfelt gratitude is for my parents, Prof. Aruna Mathur and Prof. Man Mohan Swaroop Mathur who were the motivation behind me. Without their blessings this work would not have been possible. My special acknowledgement goes to my friends and fellow PhD students for sharing my joys and sorrows during this tenure. Finally, I would like to thank the almighty without whom my PhD would have been a distant reality.

Aashish Mathur

Abstract

Power line communications (PLC) is the use of power lines for the dual purpose of power transmission and data communication. PLC has recently grabbed significant attention of researchers owing to its potential to cater to the demand for high speed access to video and data, widespread availability, and huge geographical coverage area. However, the variation in the key parameters, such as noise, channel impedance, and attenuation with time, frequency, and distance makes it difficult to model a PLC channel thereby rendering it hostile for communication.

The additive noise in PLC is broadly categorised into background noise and impulsive noise. While the background noise is modeled by the Nakagami- m distribution, the impulsive noise follows the Middleton class A distribution. Besides additive noise, PLC channel also suffers from multipath effects and impedance mismatches resulting into multiplicative noise. Various efforts have been made by the researchers to model the PLC channel. Rayleigh, Rician, and Log-Normal distributions are commonly used to model the PLC channel gain.

In literature, threshold based detectors are used to determine the value of transmitted data over a PLC link under the influence of Nakagami- m background noise which results in a suboptimal performance. In this dissertation, we derive the condition for optimal detection of binary and

quaternary transmitted data in a PLC system subjected to Nakagami- m additive background noise. Numerically computable expressions for the analytical average bit error rate (BER) and symbol error rate (SER) of the considered system are derived using the copula approach. It is observed that the proposed detector significantly outperforms the existing threshold based detector.

Further, we perform a detailed performance analysis of PLC systems under the influence of Rayleigh, Rician, and Log-Normally distributed channel gains in the presence of Nakagami- m background noise. In order to gain a better understanding of the PLC systems, we investigate the BER performance of a PLC system under the combined influence of Nakagami- m background noise and Middleton class A distributed impulsive noise. It is seen that due the detrimental effect of the impulsive noise, the BER performance does not improve with the signal-to-noise ratio (SNR) once the impulsive noise starts to dominate the background noise. We also evaluate the BER and outage performance of a PLC system subjected to Rayleigh distributed channel gain in the presence of both background and impulsive noises by considering an accurate non-uniformly distributed model for the phase of the background noise.

Because of the fluctuating nature (small block fading duration) of the PLC channel, it is not possible to obtain perfect channel state information. The periodic changes in the channel transfer function make it difficult to estimate the carrier phase. Additionally, the presence of impulsive noise at the switch-overs of transfer functions increases the problem in PLC channel estimation. Thus, differential modulation schemes can be utilised to overcome this difficulty as exact channel knowledge is not required. We evaluate the performance of a PLC system assuming differential binary phase shift keying (DBPSK) modulation in the presence of both

background and impulsive noises. We use a least square detector for the considered system in the presence of Nakagami- m background noise by using an accurate model for its phase. Closed-form expressions of the analytical average BER and outage probability of the considered PLC system have been derived. It is concluded that there is a 5 dB loss in SNR for DBPSK as compared to BPSK under Nakagami- m additive background noise for the considered PLC system. The detrimental effect of the impulsive noise is also observed on the BER and outage performance.

We also discuss an application of PLC systems to solve the range limitations of conventional wireless networks. There may be remote locations where wireless signals are unable to reach. Using a PLC link after a wireless link allows us to extend the network. We derive the equivalent end-to-end SNR of a dual-hop wireless-powerline mixed cooperative communication system using decode-and-forward (DF) relaying protocol. Closed-form expressions for the analytical average BER, outage probability, and the average channel capacity of the system are obtained. It is observed that the impulsive noise index and the probability of occurrence of the impulsive component of the Bernoulli-Gaussian noise of the PLC link significantly affect the performance of the considered cooperative communication system.

Contents

Certificate	i
Acknowledgements	ii
Abstract	iii
List of Figures	xv
List of Tables	xvi
Abbreviations	xvii
1 Introduction	1
1.1 Overview of Power Line Communications (PLC)	1
1.2 PLC Noise Classification and Modeling	3
1.3 PLC Channel Models	5
1.4 Related Work	7
1.5 Motivation	9

1.6	Key Contributions	10
1.7	Organization of Thesis	11
2	PLC Performance Analysis Assuming BPSK Modulation over Nakagami-m Additive Noise	14
2.1	System Model	15
2.2	Optimum Detector for Nakagami- m Additive Noise	16
2.3	Characterization of Decision Variables for BPSK Signal	17
2.4	Modeling Dependence Using Copula and Numerical Computation of BER	20
2.4.1	Modeling Dependence Using Copula	20
2.4.2	Computation of BER	23
2.4.3	Numerical Results	24
2.5	Performance Analysis Assuming QPSK Modulation	26
2.5.1	Optimal Detection of QPSK Signal	26
2.5.2	Characterization of Decision Variables for QPSK Signal	27
2.5.3	Computation of SER and BER	29
2.5.4	Numerical Results	30
2.6	Summary	32
3	PLC Performance Analysis with Channel gain under Nakagami-m Additive Noise	33
3.1	Performance under Rayleigh Channel Gain	34
3.1.1	System Model	34
3.1.2	Characterization of Decision Variable	35

3.1.3	Calculation of the Average BER	37
3.1.4	Computation of Outage Probability	38
3.1.5	Numerical Results	41
3.2	Performance under Rician Channel Gain	43
3.2.1	System Model	43
3.2.2	Characterization of Decision Variable	43
3.2.3	Calculation of the Average BER	44
3.2.4	Computation of Outage Probability	46
3.2.5	Numerical Results	48
3.3	Performance under Log-Normal Channel Gain	50
3.3.1	System Model	50
3.3.2	Characterization of Decision Variable	50
3.3.3	Computation of BER	51
3.3.4	Numerical Results	53
3.4	Summary	56
4	Performance Evaluation of PLC under the Combined Effect of Background and Impulsive Noises	57
4.1	System Model	58
4.2	Characterization of Decision Variables	59
4.3	Computation of BER	62
4.3.1	Numerical Results	65

4.4	Summary	66
5	Performance of a PLC System Assuming Differential Binary Phase Shift Keying	67
5.1	System Description and Decoding of Differentially Modulated Signals	68
5.1.1	Differential Encoding of BPSK Data	68
5.1.2	System Model	68
5.1.3	Decoding of DBPSK Transmitted Signal	69
5.1.4	Characterization of Decision Variable	71
5.2	BER Performance Analysis of DBPSK Transmitted Signal	72
5.2.1	Only Background Noise	72
5.2.2	Combined Effect of Background and Impulsive Noises	73
5.2.3	Numerical Results	74
5.3	Computation of Outage Probability	76
5.3.1	Numerical Results	77
5.4	Summary	79
6	PLC Performance Evaluation with Channel Gain and Additive Noise over Non-Uniform Background Noise Phase	80
6.1	PLC System Description	81
6.1.1	Only Background Noise, No Channel Gain	82
6.1.2	Both Background and Impulsive Noises, No Channel Gain	82
6.1.3	Only Background Noise with Rayleigh Channel Gain	83
6.1.4	Both Background and Impulsive Noises with Rayleigh Channel Gain	83

6.2	Computation of BER	84
6.2.1	Only Background Noise, No Channel Gain	84
6.2.2	Both Background and Impulsive Noises, No Channel Gain	85
6.2.3	Only Background Noise with Rayleigh Channel Gain	87
6.2.3.1	Diversity Analysis	88
6.2.4	Both Background and Impulsive Noises with Rayleigh Channel Gain	88
6.2.5	Numerical Results	90
6.3	Computation of Outage Probability	94
6.3.1	Only Background Noise, No Channel Gain	94
6.3.2	Both Background and Impulsive Noises, No Channel Gain	95
6.3.3	Only Background Noise with Rayleigh Channel Gain	96
6.3.3.1	Diversity Analysis	97
6.3.4	Both Background and Impulsive Noises with Rayleigh Channel Gain	98
6.3.5	Numerical Results	99
6.4	Summary	103
7	Performance Analysis of a Dual-Hop Wireless-Powerline Mixed Cooperative System	104
7.1	System Description	105
7.2	Performance Analysis	108
7.2.1	Computation of BER	110
7.2.1.1	Diversity Analysis	113

7.2.2	Computation of Outage Probability	114
7.2.3	Computation of Average Channel Capacity	115
7.3	Numerical Results	119
7.4	Summary	128
8	Conclusions and Scope for Future Work	130
8.1	Future Work	131
	Bibliography	132
A	Proof of Eq. (3.32)	142
B	Proof of Eq. (6.13)	146
C	Proof of Eq. (6.34)	147
	Work Published Based on this Thesis	148

List of Figures

2.1	Analytical (–) and simulated (□) p.d.f.s of the RVs U and V for different values of m , θ , and Ω	19
2.2	Plots showing the c.d.f. of the RVs U and V for $m = 0.9$ and different values of θ	23
2.3	Comparison of the simulated and analytical BERs of the proposed optimal receiver with an existing suboptimal receiver [9], for different values of m	25
2.4	Comparison of the simulated and analytical SERs of the proposed optimal receiver with an existing suboptimal receiver [10], for different values of m	30
2.5	Comparison of the simulated and analytical BER and SER for different values of m	31
3.1	Analytical and simulated p.d.f.s of RV \hat{y} for different values of m and θ	37
3.2	Comparison of simulated and analytical p.d.f. of the instantaneous SNR under Rayleigh channel gain for different parameters.	39
3.3	Comparison of simulated and analytical BER with and without Rayleigh channel gain.	41
3.4	Comparison of outage probability for different parameters m , γ_{th} , and σ	42

3.5	Comparison of simulated and analytical BER with and without Rician channel gain.	48
3.6	Comparison of outage probability under Rician Fading for different parameters $m, \gamma_{th}, \mu,$ and σ	49
3.7	Comparison of analytical and simulated BER for different values of m for a given value of m_h and μ	53
3.8	Comparison of analytical and simulated BER for different values of m_h for a given value of m and μ	54
3.9	Variation of BER with shadowing spread, σ_{dB} for different SNR for a given value of m and μ	55
4.1	Analytical and simulated p.d.f.s of the RV y_{bi} for different values of $m, \theta, A,$ and τ .	61
4.2	Average BER versus SNR plot for heavy, moderate, and weak impulse situations.	65
5.1	Comparison of BER under the combined effect of background and impulsive noises for BPSK and DBPSK for $m = 0.7$	75
5.2	Comparison of analytical outage probability under the combined effect of background noise and impulsive noise for different parameters.	78
6.1	Comparison of simulated and analytical BER for different values of m under background noise only.	90
6.2	Comparison of analytical BER under the combined effect of background and impulsive noises.	91

6.3	Comparison of simulated and analytical BER in the presence of Rayleigh distributed channel gain and background noise for different parameters.	92
6.4	Comparison of analytical BER in the presence of Rayleigh distributed channel gain under background noise and impulsive noise for $m = 0.8$ and $\sigma = 1$	93
6.5	Comparison of outage probability under Nakagami- m background noise for various values of m and γ_{th}	100
6.6	Comparison of outage probability under the cumulative impact of background and impulsive noises without channel gain.	101
6.7	Comparison of outage probability under Rayleigh channel gain over Nakagami- m background noise.	102
6.8	Comparison of outage probability under the cumulative impact of background and impulsive noises over Rayleigh distributed channel gain.	103
7.1	System model of dual-hop DF based wireless-powerline mixed cooperative communication system.	105
7.2	Comparison of simulated and analytical p.d.f. of SNR for different parameters.	120
7.3	Comparison of simulated and analytical BER for different values of K for $m_s = 1.55$, $m_w = 2$, and $p = 0.1$ assuming BPSK modulation.	121
7.4	Comparison of simulated and analytical BER for different values of p for $K = 20$, $m_s = 1.55$, and $m_w = 2$ assuming BPSK modulation.	122
7.5	Comparison of analytical BER for different modulation on the wireless and PLC links.	123

7.6	Comparison of analytical BER for different values of m_w and m_s for $K = 10$ and $p = 0.001$ assuming BPSK modulation.	124
7.7	Comparison of simulated and analytical outage probability for different values of K and γ_{th} for fixed $p = 0.1$, $m_s = 1.55$, and $m_w = 2$	126
7.8	Comparison of outage probability for different values of p for fixed $K = 15$, $m_s = 1.55$, $m_w = 3$, and $\gamma_{th} = 10$	127
7.9	Average channel capacity versus SNR for different values of K for fixed $p = 0.1$, $m_s = 2.5$, and $m_w = 2$	128
7.10	Average channel capacity versus SNR for different values of p for fixed $K = 10$, $m_s = 1.5$, and $m_w = 3$	129

List of Tables

7.1	Parameter Values Used For Simulations	119
-----	---	-----

Abbreviations

AWGN	Additive White Gaussian Noise
BER	Bit Error Rate
BPSK	Binary Phase Shift Keying
c.d.f.	Cumulative Distribution Function
DF	Decode-and-Forward
DBPSK	Differential Binary Phase Shift Keying
IAT	Inter Arrival Time
LLR	Log Likelihood Ratio
ML	Maximum Likelihood
p.d.f.	Probability Density Function
PLC	Power Line Communications
PSK	Phase Shift Keying
QPSK	Quadrature Phase Shift Keying
RV	Random Variable
SER	Symbol Error Rate
SNR	Signal-to-Noise Ratio