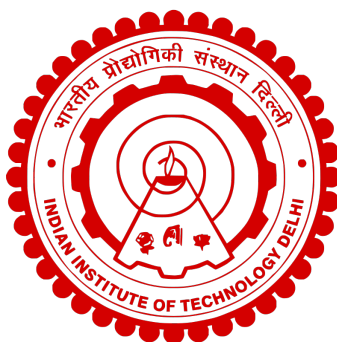


A CHARACTERIZATION OF NORMAL 3-PSEUDOMANIFOLDS WITH A FEW SINGULARITIES

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INDIAN INSTITUTE OF TECHNOLOGY DELHI
DECEMBER 2024**

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A Characterization of Normal 3-Pseudomanifolds With a Few Singularities

by

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Submitted

in fulfillment of the requirements of the degree of Doctor of Philosophy

to the



Indian Institute of Technology Delhi
December 2024

Dedicated to
My Parents and My Sister

Certificate

This is to certify that the thesis entitled “**A Characterization of Normal 3-Pseudomanifolds With a Few Singularities**” submitted by **Mr. Raju Kumar Gupta** to the **Indian Institute of Technology Delhi**, for the award of the degree of **Doctor of Philosophy**, is a record of the original bonafide research work carried out by him under my supervision and guidance. The thesis has reached the standards fulfilling the requirements of the regulations relating to the degree. The results contained in this thesis have not been submitted in part or full to any other university or institute for the award of any degree or diploma.

New Delhi
2024

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Acknowledgements

As I started my PhD journey, I faced numerous challenges. Without the help and support of many people, I would not have been able to finish this thesis. I want to thank everyone who has helped with this project from the bottom of my heart. In the first place, I would like to express my gratitude to God for the divine guidance, strength, and blessings that they have bestowed upon me along this journey.

I am very thankful to my supervisor Prof. Biplab Basak. His unwavering support, excellent direction, and wise counsel have been very important to me throughout my doctoral journey. He has been more than just a mentor. Because of his friendly and approachable nature, working with him has been easy and fun. It was great and truly appreciable that he was regularly meeting and guiding online while the COVID pandemic was going on. His understanding, support, and trust in my abilities have motivated me to face challenges and do my best. I am very grateful for all the time he spent discussing with me, looking over my work, giving me comments, and pointing me in the right direction. This thesis would not have been possible without his steady help and guidance. I want to thank Prof. Basak, Papri maám, Ambika, Uncle, and Aunty for all the fun times we had while I was doing my PhD.

I extend my sincere thanks to the members of my research committee, Prof. Sivananthan Sampath, Prof. Amit Priyadarshi, and Prof. Ankesha Jain for their time, and helpful comments, that made this research much better. I am thankful to Prof. Basudeb Datta from TCG CREST, Kolkata, for sparing his valuable time to attend my Council of Scientific and Industrial Research (CSIR) fellowship extension seminar. I am also thankful to Prof. Samir Shukla from IIT Mandi for the collaboration, suggestions, and support.

It's my pleasure to thank Indian Institute of Technology Delhi and the Department of Mathematics for providing a great learning environment and the resources necessary for conducting my research. I am also thankful for the Research Scholar Travel Award (RSTA) provided by the institute to participate and present my research at the "2023 International Conference on

Topology and its Applications", July 3-7, 2023, Nafpaktos, Greece.

I want to deeply thank Himanshu, Kavin, Satyam, and Shweta for their support and friendship that have made this challenging journey both enjoyable and rewarding. An acknowledgment goes to my friends Abhilash, Saumyaranjan, Jaya, Ankita, Sarfaraz, Suprovat, Shivansh, Partha, Ritabrata, Ritesh, Ajay, Ajeet, Krishna, Amit, Sumit, Abhishek, Vivek, Baiju, Anuj, Arfa, Roshni, Prabhakar, Kalyan, Vikash, Sagar, Suraj, Gourab, Vishwajeet, Naveen, Shibu and many more for their constant support. I want to express my gratitude towards my seniors, Dr. Manisha, Dr. Kshitij, Dr. Vidya Sagar, Dr. Nitin, Dr. Abhishek, Dr. Gyanendra, Dr. Abhinay, Neha Maám, and Navneet Sir for all their help and motivation. I am grateful to my collaborator Sagar for his support and valuable discussions. I am grateful to have a nice colleague cum friend Sourav. We have traveled a lot together. I would like to thank Sourav for his invaluable support and insightful discussions. I am also grateful to have some of the best juniors like Anshu, Ayushi, and Mangaldeep. I appreciate Mr. Anant Ravikrishnan for his presentations on γ -vectors of flag manifolds and tutorials on GAP software.

A special and heartfelt thanks goes to Astha. She has been there for me every step of the way, providing constant encouragement and guidance. A pillar of my PhD experience has been her unwavering presence, whether to celebrate successes or offer consolation in times of disappointment. Her wisdom and friendship have not only enriched my research but also made this journey more meaningful and fulfilling. I can't thank her enough for all the ways she has helped me develop as a person.

My scholastic journey would not have been possible without the unfaltering love, support, and understanding of my family - Maa, Papa, and Soni for whom I am eternally grateful. Their empathy and encouragement during this difficult time have meant the world to me. Their unwavering support, sacrifices, belief in me, and affection have been my source of inspiration throughout my journey. This thesis is wholeheartedly dedicated to them.

In conclusion, the aforementioned persons and institutions are the reason why this thesis was able to come to a successful completion. My deepest gratitude goes out to everyone who has helped me reach this milestone. I sincerely apologize if I accidentally left somebody out; please understand that your support has been deeply valued.

Abstract

Characterizing face-number-related invariants of a given class of simplicial complexes has been a central topic in combinatorial topology. In this regard, one well-known invariant for a d -dimensional finite simplicial complex K is g_2 , defined by $g_2 := f_1 - (d+1)f_0 + \binom{d+2}{2}$, where f_0 and f_1 denote the number of vertices and edges in K . A *normal d -pseudomanifold* without boundary (respectively with boundary) is a connected pure simplicial complex in which every face of dimension $(d-1)$ is contained in exactly two (respectively at most two) facets and the links of all the simplices of dimension $\leq (d-2)$ are connected. In a normal d -pseudomanifold K , the link of a vertex is a normal $d-1$ -pseudomanifold. Vertices in K whose links are triangulated spheres are called *non-singular* vertices, and the remaining are called *singular* vertices.

Let K be a normal 3-pseudomanifold such that $g_2(K) \leq g_2(\text{lk}(v)) + 9$ for some vertex v in K . Suppose that either K has only one singularity or K has two singularities (at least) one of which is an $\mathbb{RP}^2$ -singularity. We prove that K is obtained from some boundary complexes of 4-simplices by a sequence of operations of types connected sums, bistellar 1-moves, edge contractions, edge expansions, vertex foldings, and edge foldings. In case K has one singularity, $|K|$ is a handlebody with its boundary coned off. Further, we prove that the above upper bound is sharp for such normal 3-pseudomanifolds. These results are in [Chapter 3](#).

In [Chapter 4](#), we characterize a normal 3-pseudomanifold K with $g_2(K) \leq 4$. We know that if a normal 3-pseudomanifold K with $g_2(K) \leq 4$ does not have any singular vertices, then it is a triangulated 3-sphere. First, we prove that a normal 3-pseudomanifold K with $g_2(K) \leq 4$ has at most two singular vertices. Next, we prove that a normal 3-pseudomanifold K with $g_2(K) \leq 4$, which is not a triangulated 3-sphere, is obtained from some boundary complexes of 4-simplices by a sequence of operations such as connected sums, edge expansions, and an edge folding. Furthermore, we prove that the normal 3-pseudomanifold K is a triangulation of the suspension of $\mathbb{RP}^2$. Additionally, using [\[73\]](#), we reframe the characterization of a normal 3-pseudomanifold K with no singular vertices for $g_2(K) \leq 9$.

Next, in [Chapter 5](#), we extend the results obtained in [Chapter 4](#). We characterize normal 3-pseudomanifolds with $g_2 = 5$. First, we show that a normal 3-pseudomanifold K with $g_2 = 5$ has no more than two singular vertices. Then, we show that K is obtained from some boundary complexes of 4-simplices by a sequence of possible operations of types connected sums, bistellar 1-moves, edge contractions, edge expansions, and an edge folding. As a result, K is a triangulation of either a sphere or a suspension of $\mathbb{RP}^2$.

The *average edge order*, denoted by $\mu_0(K)$, of a normal 3-pseudomanifold is defined as $\mu_0(K) = \frac{3f_2(K)}{f_1(K)}$, where $f_2(K)$ and $f_1(K)$ represent the number of faces and edges in K , respectively. In our study, we establish that, for a normal 3-pseudomanifold K with singularities, $\mu_0(K) \geq \frac{30}{7}$. Moreover, equality holds if and only if K is a one-vertex suspension of $\mathbb{RP}^2$ with seven vertices. Furthermore, we establish that when $\frac{30}{7} \leq \mu_0(K) \leq \frac{9}{2}$, K can be derived from some boundary complexes of 4-simplices by a sequence of possible operations, including connected sums, bistellar 1-moves, edge contractions, edge expansions, a vertex folding, and an edge folding. In the end, we discuss some normal 3-pseudomanifolds exhibiting higher average edge orders. These results are given in [Chapter 6](#).

सार

किसी दी गई सिंप्लीशियल कॉम्प्लेक्स के फेस-संख्या से संबंधित गुणों का अध्ययन कॉम्बिनेटोरियल टोपोलॉजी में एक महत्वपूर्ण विषय रहा है। इस संदर्भ में, एक d -आयामी सीमित सिंप्लीशियल कॉम्प्लेक्स K के लिए एक प्रमुख इनवेरिएंट g_2 है, जिसे निम्नलिखित रूप में परिभाषित किया गया है: $g_2 := f_1 - (d+1)f_0 + \binom{d+2}{2}$, जहाँ f_0 और f_1 क्रमशः K में शीर्षों और किनारों की संख्या को दर्शाते हैं।

एक सामान्य d -स्यूडोमैनिफोल्ड (सीमा सहित) एक संयोजित और शुद्ध सिंप्लीशियल कॉम्प्लेक्स होता है, जिसमें प्रत्येक $(d-1)$ -आयामी फेस ठीक दो (या अधिकतम दो) फेसेट्स में निहित होता है, और सभी $\leq (d-2)$ -आयामी सिंप्लिसेस के लिंक जुड़े होते हैं। सामान्य d -स्यूडोमैनिफोल्ड K में किसी शीर्ष का लिंक सामान्य $(d-1)$ -स्यूडोमैनिफोल्ड होता है। K में वे शीर्ष जिनके लिंक ट्रायंगुलेटेड गोले होते हैं, नॉन-सिंगुलर शीर्ष कहलाते हैं, जबकि शेष शीर्ष सिंगुलर कहलाते हैं।

हमने दिखाया है कि यदि K एक सामान्य 3-स्यूडोमैनिफोल्ड है और किसी शीर्ष v के लिए $g_2(K) \leq g_2((v)) + 9$ है, तो निम्नलिखित विशेषताएँ सत्य होती हैं: यदि K में केवल एक सिंगुलैरिटी है, तो $|K|$ एक हैंडलबॉडी है जिसकी सीमा कौंड ऑफ होती है। यदि K में दो सिंगुलैरिटीज़ हैं और उनमें से एक $\mathbb{RP}^2$ -सिंगुलैरिटी है, तो K को कुछ 4-आयामी सिंप्लिसेस के बाउंड्री कॉम्प्लेक्सेस पर कनेक्टेड समों, बाइस्टेलर 1-मूव्स, एज कॉन्ट्रैक्शंस, एज एक्सपेंशंस, शीर्ष फ़ोल्डिंग्स, और एज फ़ोल्डिंग्स जैसे ऑपरेशन्स की एक अनुक्रम द्वारा प्राप्त किया जा सकता है। उपरोक्त इनेकुअलिटी $g_2(K) \leq g_2((v)) + 9$ सामान्य 3-स्यूडोमैनिफोल्ड्स के लिए इष्टतम है। ये परिणाम अध्याय 3 में दिए गए हैं।

अध्याय 4 में, हम $g_2(K) \leq 4$ वाली एक सामान्य 3-स्यूडोमैनिफोल्ड K का वर्णन करते हैं। हम जानते हैं कि यदि $g_2(K) \leq 4$ वाली कोई सामान्य 3-स्यूडोमैनिफोल्ड K में कोई सिंगुलर शीर्ष नहीं है, तो वह एक ट्रायंगुलेटेड गोला होता है। सबसे पहले, हम सिद्ध करते हैं कि $g_2(K) \leq 4$ वाली एक सामान्य 3-स्यूडोमैनिफोल्ड K में अधिकतम दो सिंगुलर शीर्ष होते हैं। फिर, हम सिद्ध करते हैं कि $g_2(K) \leq 4$, जो एक ट्रायंगुलेटेड गोला नहीं है, उसे कुछ 4-आयामी सिंप्लिसेस के बाउंड्री कॉम्प्लेक्सेस पर कनेक्टेड समों, एज एक्सपेंशंस, और एक एज फ़ोल्डिंग लगाकर प्राप्त किया जा सकता है। इसके अतिरिक्त, हम सिद्ध करते हैं कि सामान्य 3-स्यूडोमैनिफोल्ड $|K|$, $\mathbb{RP}^2$ का सस्पेंशन है। इसके अलावा, डी. वॉकप के परिणामों का उपयोग करके, हम $g_2(K) \leq 9$ के लिए सामान्य 3-स्यूडोमैनिफोल्ड K के बिना सिंगुलर शीर्षों के वर्णन को पुनः प्रस्तुत करते हैं।

अगले अध्याय 5 में, हम अध्याय 4 में प्राप्त परिणामों का विस्तार करते हैं। हम $g_2 = 5$ वाली सामान्य 3-स्यूडोमैनिफोल्ड्स का वर्णन करते हैं। सबसे पहले, हम यह दिखाते हैं कि $g_2 = 5$ वाली एक

सामान्य 3-स्यूडोमैनिफोल्ड K में अधिकतम दो सिंगुलर शीर्ष होते हैं। फिर, हम दिखाते हैं कि K को कुछ 4-आयामी सिंप्लिसेस के बाउंड्री कॉम्प्लेक्सेस पर कनेक्टेड समों, बाइस्टेलर 1-मूव्स, एज कॉन्ट्रैक्शंस, एज एक्सपेंशंस, और एज फ़ोल्डिंग्स जैसे ऑपरेशन्स लगाकर प्राप्त किया जा सकता है। परिणामस्वरूप, $|K|$ या तो एक गोलक या $\mathbb{RP}^2$ का सस्पेंशन होता है।

एवरेज एज ऑर्डर, जिसे $\mu_0(K)$ द्वारा निरूपित किया जाता है, एक सामान्य 3-स्यूडोमैनिफोल्ड का परिभाषित रूप है: $\mu_0(K) = \frac{3f_2(K)}{f_1(K)}$, जहाँ $f_2(K)$ और $f_1(K)$ क्रमशः K में फेस और किनारों की संख्या को दर्शाते हैं। हमारे अध्ययन में, हम स्थापित करते हैं कि, एक सामान्य 3-स्यूडोमैनिफोल्ड K के लिए जिसमें सिंगुलरिटीज़ होती हैं, उसमें $\mu_0(K) \geq \frac{30}{7}$ होता है। इसके अलावा, समानता तभी होती है जब और केवल तब, K ट्रायंगुलेटेड $\mathbb{RP}^2$ का एक एक-शीर्ष सस्पेंशन हो, जिसमें सात शीर्ष होते हैं। इसके अलावा, हम यह स्थापित करते हैं कि जब $\frac{30}{7} \leq \mu_0(K) \leq \frac{9}{2}$ है, तो K को कुछ 4-आयामी सिंप्लिसेस के बाउंड्री कॉम्प्लेक्सेस पर कनेक्टेड समों, बाइस्टेलर 1-मूव्स, एज कॉन्ट्रैक्शंस, एज एक्सपेंशंस, शीर्ष फ़ोल्डिंग्स, और एज फ़ोल्डिंग्स जैसे ऑपरेशन्स की एक अनुक्रम द्वारा प्राप्त किया जा सकता है। अंत में, हम कुछ सामान्य 3-स्यूडोमैनिफोल्ड्स पर चर्चा करते हैं जो उच्च एवरेज एज ऑर्डर प्रदर्शित करते हैं। ये परिणाम अध्याय 6 में दिए गए हैं।

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List of Symbols

Symbol	Meaning
$\mathbb{R}^n$	n-dimensional Euclidean Space,
$\mathbb{N}$	Set of natural numbers,
$\mathbb{Z}$	Set of integers,
$=$	equal to,
$\neq$	not equal to,
$\exists$	there exists,
$\nexists$	there does not exists,
$\forall$	for all,
$\cup$	union,
$\cap$	intersection,
$\in$	belongs to,
$\notin$	does not belong to,
$\emptyset$	empty set,
$\cong$	isomrophic to,
$\#$	connected sum,
$A \subseteq X$	A is a subset of X ,
$A \not\subseteq X$	A is not a subset of X ,
$A \times B$	Cartesian product of the sets A and B ,
$A \setminus B$	set containing elements of A but not B ,
$\lceil \cdot \rceil$	the smallest integer greater than or equal to $(\cdot)$,
$\lfloor \cdot \rfloor$	the largest integer less than or equal to $(\cdot)$,
f_i	i -th coordinate of the f-vector,
g_i	i -th coordinate of the g-vector,

h_i	i -th coordinate of the h-vector,
β_i	i -th betti number,
$V(K)$	vertex set of a simplicial complex K ,
$E(K)$	edge set of a simplicial complex K ,
$C(K)$	cone over a simplicial complex K ,
$\chi(K)$	Euler characteristic of a simplicial complex K ,
$K_1 \star K_2$	join of simplicial complexes K_1 and K_2 ,
$\text{lk}(\sigma, K)$	link of a simplex σ in a simplicial complex K ,
$\text{st}(\sigma, K)$	star of a simplex σ in a simplicial complex K ,
$\partial(K)$	boundary of a simplicial complex K ,
$\mathbb{S}^n$	n-sphere,
$\mathbb{S}_{n+2}^n$	triangulation of an n-sphere with n+2 vertices,
$\mathbb{RP}^n$	n-dimensional projective space,
$\mathbb{T}^2$	torus,
$d(\sigma, K)$ (or, $d(\sigma)$)	number of vertices in $\text{lk}(\sigma)$,
$(x, y]$	semi-open and semi-closed edge xy , where $y \in (x, y]$ but $x \notin (x, y]$,
(x, y)	open edge xy , where $x, y \notin (x, y)$,
$B_{x_1, \dots, x_m}(p; q)$	bi-pyramid with m base vertices $x_1, \dots, x_m$ and apexes p and q .