

**ANTI-DUMPING MEASURES IN INDIA:  
AN EMPIRICAL STUDY**

*by*

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**Submitted**

**in fulfillment of the requirements of the degree of Doctor of Philosophy**

**to the**



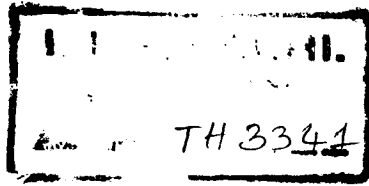
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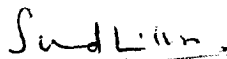


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## CERTIFICATE


The thesis entitled “**Anti-Dumping Measures in India: An Empirical Study**” being submitted by **Mrs. Swati Jain** to the Indian Institute of Technology Delhi for the award of the degree of Doctor of Philosophy is a record of bonafide research work carried out by her, under our guidance and supervision. She has fulfilled the requirements for a Ph.D. degree of the Institute. The research findings presented in this thesis have not been submitted anywhere else for the award of any other degree or diploma.



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## ABSTRACT

Regulation of foreign trade has remained one of the most important policy issues faced by national governments. A number of factors such as reduction in customs duties, increasing knowledge of global markets and lower production costs in countries such as China have led the domestic buyers to switch their purchases to foreign sources. With the surge in global trade activities, the governments of various countries resorted to a range of measures such as anti-dumping measures, to guard against unfair trade practices and ensure fair trade. The use of anti dumping measures as an instrument of fair competition is permitted by the WTO and its objective is to provide relief to the domestic industry against the injury caused by dumping.

The thesis presents the perspectives of domestic industry and importers on various aspects related to anti-dumping measures in India. The study examines the awareness of domestic industry and importers towards anti-dumping measures. It also analyses the response of domestic industry and importers towards dumping, anti-dumping measures, investigation processes and procedures as well as their perspectives towards effective use of these measures.

The study involves use of various statistical techniques such as Factor Analysis, One-way Analysis of Variance and Correlation to analyze the cross sectional data collected through structured questionnaires administered separately to domestic industry and importers. The study observes that both domestic industry and importers have reasonably high awareness about conceptual or basic aspects of dumping and anti-dumping measures, but low awareness in advanced aspects. Awareness of domestic industry is found to be more than importers in all aspects of anti-dumping measures. It is observed that dumping has negative impact on various economic and financial parameters of domestic industry and that the industry devises various strategies to counter or

minimize the negative impact of dumping. It is found that levy of anti-dumping duty reduces/curtails further deterioration but may not necessarily lead to improvement in the condition of domestic industry. The domestic industry and importers have mixed experience and perception of various investigation processes and procedures and recommend certain modifications in anti-dumping rules and procedures, reduction in time taken for investigation and development as well as proper dissemination of import-export databases. The analysis of the findings suggests that each of the segments (domestic industry and importers) have some common concerns in relation to anti-dumping measures. It is also reflected that the experience of each of the categories, to some extent, is influenced by their respective gains or losses due to use of such measures. The study recommends taking adequate steps to reduce prevailing misconceptions about anti-dumping measures and setting up of a mechanism to ensure that use of these measures do not encourage inefficiencies or provides undesirable protection to domestic industry. The study also recommends some modifications in the anti-dumping rules to serve the larger interests of the economy. Such modifications include strengthening of anti-circumvention mechanism and providing flexibility to specific product categories such as agricultural products in application of these rules.

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